

5. The Treasurer shall prepare the budget and submit it to the Board of Directors and Finance Committee for review.

6. Vacancies. In the event of the death, resignation, removal or incapacity of an Officer, the vacancies shall be filled as follows :

a. President. The First Vice-President becomes the President.

b. First Vice-President. The Second Vice-President becomes the First Vice-President.

c. Second Vice-President. The office remains vacant until the next meeting of the Congress, at which time Congress will fill the office for the remainder of any unexpired term.

d. Secretary. The Board of Directors elects a successor to hold office until the next meeting of Congress at which time Congress will fill the office for the remainder of any unexpired term.

e. Treasurer. The Board of Directors elects a successor to hold office until the next meeting of Congress at which time Congress will fill the office for the remainder of any unexpired term.

7. Emergency Powers of Officers. In the event of actual or potential emergency situations that require timely action, the Officers may by majority agreement assume emergency powers to act in the best interest of the AAU in any manner necessary, which includes but is not limited to:

a. Modify or suspend any rule, regulation or section of the AAU Code.

b. Assume original jurisdiction in any matter materially affecting the AAU.

c. Any action taken under this emergency provision will be effective until the next meeting of the Board of Directors. All emergency actions exercised shall be reported in writing in full detail by the Officers to the Board of Directors. The Board may ratify, modify, rescind, suspend, or revoke any such action(s).

F. Committees. The types of Committees of the AAU are Administrative, Sport and Special (Ad Hoc).

1. Administrative Committees. The Administrative Committees of the AAU are :

a. Finance Committee.

1. Composition. The majority of the Officers shall select five (5) members. The National Officers are Ex-Officio members of the Committee.

2. Duties. To ensure the financial integrity of the Union by overseeing the financial matters of the AAU.

b. Insurance Committee.

1. Composition. The majority of the Officers shall select five (5) members. The Chairs of the Legislation Committee, Finance Committee, and the Registration Committee shall be members.

- 2. Duties.** The Committee shall assess the insurance needs of the AAU and evaluate and recommend to the President insurance programs.

c. Legislation Committee

- 1. Composition.** The Committee will consist of a representative appointed by each District and up to two (2) at-large members appointed by the President.
- 2. Duties:**
 - a.** To Committee receive, review and/or modify and/or initiate proposals for amendments to the Constitution and Bylaws consider and evaluate those proposals and present its recommendations to Congress.
 - b.** To consider and recommend to Congress all proposals on changes to Districts and Zones.

d. Nominations and Elections Committee

- 1. Composition.** The Committee will consist of up to three (3) members who are elected to a four (4) year term in odd-numbered years by the Board of Directors.
- 2. Duties.**
 - a.** To develop procedures for elections which upon approval by the Board of Directors shall become National Policy.
 - b.** To oversee and conduct all elections and matters which require a ballot.
 - c.** To review and approve or disapprove all campaign materials (including but not limited to eblasts, flyers, handouts, t-shirts, etc.).

e. Redistricting Committee

- 1. Composition.** The majority of the Officers shall select five (5) members.
- 2. Duties.**
 - a.** Develop procedures for redistricting which upon approval by the Board of Directors shall become National Policy.
 - b.** Consider and recommend to the Legislation Committee all proposals on territorial changes for Districts and Zones.

f. Registration Committee.

- 1. Composition.** The Committee will consist of the elected Registrar of each District or a representative designated by the District Governor, plus the National Legislation Chair and in addition thereto up to two (2) at-large members appointed by the President.
- 2. Duties.** To develop, review, and coordinate membership registration procedures and issue event licenses as provided by Bylaws and Policies.
- 3. Executive Committee.** The Registration Chair and four (4) committee members elected by the Committee shall serve a two (2) year term. Vacancies shall be filled by appointment of the Chair.

g. Administrative Committee Structure and Procedures.

- 1. Chairs.** The President of the AAU, upon approval by a majority of the National Officers, appoints the Chairs.
- 2. Meetings.**
 - a.** Regular committee meetings are held in conjunction with the AAU Convention. They may be observed by any person registered for the Convention; however, such observers shall have no voice unless recognized by the Committee Chair. This shall not affect the Committee's right to meet in executive session whereupon observers may be excluded at the sole discretion of the Chair.
 - b.** Special meetings of a Committee are scheduled at the request of the Chair or upon the written request of at least one-half (1/2) of the committee members. **At least ten (10) days' notice shall be provided along with the purpose of the meeting.**
 - c. Voting.** At all meetings, only members of the Committee are permitted to vote. There shall be no voting by proxy. Each member of the Committee will have one vote.
 - d. Quorum.** A quorum consists of those voting members of the Committee that are present at the meeting.
 - e. Vacancies.** Vacancies occurring on any committee may be filled with the same procedure as provided for in the original appointment.

2. National Sport Committees. A Committee may be established to conduct the program in each sport approved by Congress. Criteria for the formation of a National Sport Committee shall be established by national policy.

a. Chair. National Sport Chairs shall be elected by their National Sport Committee at the committee meeting during National Conventions in which National Officers are elected.

- 1.** Terms are four (4) years.
- 2.** National election policies shall apply.
- 3.** National Sport Chairs may be removed for cause by a majority vote of the National Officers, subject to the Right of Appeal to the National Board of Review.
- 4.** The President, with the approval of a majority of the Officers may appoint a person to fill a vacant National Sport Chair position. **The appointees will serve until the next regular or special meeting of the Sport Committee, at which time an election shall be held to complete the term.**
- 5. Duties of the Chair:**
 - a.** Preside at meetings of the Committee.
 - b.** Conduct the business of the Committee, subject to the Committee's operating rules.
 - c.** Prepare the budget which shall be reviewed and approved by the National Treasurer.
 - d.** Select delegates to Congress by the required deadline.

b. Composition. The National Sport Committee will consist of the following all of whom must be at least 18 years of age:

- 1.** The elected or appointed Sports Director of each District or a representative designated by the District Governor;
- 2.** National Sports Chair;
- 3.** **Members of the Executive Committee who are elected as provided by the sport operating rules;**

4. One (1) appointed representative from each Allied member that registers members in the sport;
5. The President may appoint up to two (2) members-at-large.

c. Meetings.

1. **Regular.** Regular sports committee meetings shall be held in conjunction with the AAU Convention, and may be held as provided by National Policy.
2. **Special.** Special meetings of a Committee are scheduled at the request of the Chair or upon the written request of at least one half (1/2) of the Committee members. Ten (10) days' notice is required and the notice shall state the purpose of the meeting.

d. Voting. At all meetings, only members of the Committee are permitted to vote. There shall be no voting by proxy. Each member of the Committee will have one vote. Only representatives from Districts, and Allied members, which registered a minimum of .5% (a half percent) of the total number of athletes in that sport, in the previous year, shall be allowed to vote in the National Sport Committee meeting.

e. Quorum. A quorum consists of the voting members of the Committee that are present at the meeting.

f. Duties. A National Sport Committee has the duty to :

1. Adopt rules, procedures and operating policies relative to the conduct of the sport to include competition rules, National Championship qualification criteria, and Committee governance, and submits them to the Board of Directors for approval. If the Committee has established an Executive Committee, that Committee may review and approve competition rules for the sport between meetings of the Committee.

- a. The National Sport Committee operating rules and procedures may only be amended by the National Sport Committee under the following procedure:

1. Amendments may be approved only upon the affirmative vote of two-thirds (2/3) of those members of the National Sport Committee voting. Amendments become effective immediately upon approval unless otherwise specified at the time of adoption.
2. Presentation. A proposed amendment may be submitted only by:
 - a. A District Sport Committee after approval at its Biennial District Sport Committee meeting. Such approval must be reflected in the District Sport Committee meeting minutes).
 - b. The applicable National Sport Chair.
 - c. The National Sport Committee Executive Committee. Such approval must be reflected in the National Sport Executive Committee meeting minutes.
3. Time and Form. A proposed amendment must be received by the National Secretary in writing. The proposed amendment must be received at least forty-five (45) days before the meeting of the National Sport Committee at which it is to be submitted. The proposed amendment must be submitted in such form to show the entire section, subsection or paragraph as it will read if adopted. The proposed amendment shall be forwarded at the direction of the Secretary immediately to the National Sport Chair. A report of all proposed amendments

must be mailed by the Secretary to each member of the National Sport Committee at least thirty (30) days prior to the meeting.

4. **Other/Floor Amendment.** Amendments may be presented to the National Sport Committee for consideration at the time of the meeting. Approval of these amendments requires an affirmative vote of three-fourths (3/4) of those members of the National Sport Committee voting.

2. Conduct National Championships and other activities of the National Committee.
3. Establish an Executive Committee, the composition of which shall be established in the Committee Operating Rules. The Executive Committee shall act in behalf of the National Sport Committee between National Sport Committee meetings subject to the approval of the Committee except that it may not amend Sections of the National Sport Committee handbook that are incorporated from the Code.
4. Create and maintain a National Sport Committee handbook in which the operating rules, procedures and policies of the committee are set out. Handbooks shall be standardized for all sport committees.

g. Dissolution. Upon the dissolution of any National Sport Committee, the net assets of the Committee shall be transferred to the general fund of the AAU.

3. **Special Committees/Ad Hoc Committees.** The President or Congress may appoint Special Committees or Ad Hoc Committees and designate the responsibilities to further the interests of the AAU. The President shall appoint the Chair.

ARTICLE II GOVERNANCE OF THE DISTRICTS

A. Adoption. Each District member shall adopt the provisions of this Article which are mandatory in their entirety and must be incorporated as each District's governance.

1. Amendments. This Article cannot be amended by a District. Amendments adopted by the Congress shall be automatically binding upon each District.

B. Charter. The District shall comply with the terms of its charter, the AAU Constitution, Bylaws, and National Policies.

C. Name, Territory and Jurisdiction. The District shall operate with the name and territory designated by Congress. Districts shall exercise jurisdiction over its territory for the purpose of conducting the business of the AAU.

D. Objectives. The objectives of the District is to foster the mission of the AAU, protect and promote the mutual interests of AAU members, provide administrative services to sports-oriented groups, and conduct sport programs in approved sports.

E. Management.

1. Board of Managers. The Board of Managers governs the District.

a. Composition. The members of the Board of Managers shall be at least eighteen (18) years of age and shall consist of:

1. Clubs representatives.
2. Officers of the District.
3. District Sport Directors.
4. **At-Large.** Up to two (2) at-large members appointed by the Governor.

b. Duties. The management of the business affairs of the District is the sole responsibility of the Board of Managers. At the Biennial Legislative meeting, the Board of Managers shall have the power and the duty to:

1. Elect the following:
 - a. Officers of the District;
 - b. The Review Committee;
 - c. The Nominations and Elections Committee and approve procedures for District elections;
 - d. Delegates to the Congress of the AAU;
2. Review and approve the budget of the District;
3. Establish the dates of the Biennial Legislative and special meetings of the District.
4. Establish policies and rules consistent with the Code and necessary for the management of the District;
5. Approve action of the Executive Committee;

6. Nominate, by a majority vote, candidates for National Office; and
7. By a majority vote, propose to Congress amendments to the AAU Code.

c. Voting.

1. Each club which has registered at least five (5) individual members during the current year shall appoint one representative to serve on the Board of Managers. The representative shall be designated on the club membership application.
 - a. The club may by written notice to the District Secretary withdraw its representative and substitute a new representative.
 - b. Written notice to the District Secretary for the withdrawal of its representative and substitution of a new club representative must be received seven (7) days prior to the meeting date.
 - c. Replacement representative shall be at least 18 years old on the date of the meeting.
2. There shall be no voting by proxy.
3. Each member of the Board of Managers shall have one vote unless the District selects weighted voting as set out below.
 - a. The following system of weighted voting may be adopted by a District by a 2/3 vote at a District Biennial Legislative Meeting.
 - b. Districts may assign weighted voting privileges to club representatives based on registered membership. One representative may cast all the votes to which the club is entitled.
 1. Each club shall receive one vote for the first five (5) members attached to the club. The club shall receive one additional vote for each additional fifteen (15) members attached.
4. A member of the Board of Managers is limited to voting for a maximum of two entities (i.e. Club Representative and in an individual capacity, such as a Sport Committee Chair).

2. Executive Committee.

- a. **Composition.** The Executive Committee shall be comprised of the following members:
 1. Elected Officers of the District;
 2. The Director, or designee, of the District Sport Committee whose District Sport Committee has registered one percent (1%) or more of the total membership of the District. (Membership numbers will be determined utilizing the year-end total as supplied by the AAU National Office for the previous year.)
 3. The Chair of the Finance Committee.
- b. **Duties.** The Executive Committee has the power and duty to:
 1. Act for the District and the Board of Managers during the interval between meetings of the Board of Managers subject to the approval of the Board.
 2. Approve the date, time and location of the Biennial District Sport Committee Meeting;
 3. Approve District Sport Committee operating rules.
 4. Fill a vacancy occurring in an elected office. The appointment shall be effective until the next Board of Managers meeting where an election will be held to fill the balance of the unexpired term.

5. Schedule the time and location of the Board of Managers Biennial Legislative Meeting.
6. Review accounts of the District Treasurer.

3. Officers.

a. Titles. The District Officers are Governor, Lieutenant Governor, Registrar, Secretary, and Treasurer. The Board of Managers may approve the establishment of additional District Officers. No individual may hold more than one office at the same time.

b. Eligibility. Only members of the Board of Managers are eligible to hold office.

c. Term of Office. Each Officer shall serve a term of four years or until his successor is chosen.

d. Duties. The duties of the Officers are:

1. Governor. The Governor presides at all meetings, appoints Committees, calls special meetings, and performs any other duties that pertain to the office of Governor.

2. Lieutenant Governor. The Lieutenant Governor has duties as assigned by the Governor.

3. Secretary. The Secretary shall:

a. Keep the records of the District, including but not limited to the minutes of all District Board of Managers and Executive Committee meetings and copies of all District Sport Committee meetings and District Sport Committee Operating Rules.

b. Issue or approve issue of all District and District Sport Committee meeting notices. Forward a copy of District Board of Managers notices to the National Office.

c. Prepare meeting minutes for approval at all Board of Managers and District Executive Committee meetings. Forward a copy of all minutes to the National Office.

d. Prepare a report of activities for the District Board of Managers Biennial Legislative meeting.

e. Prepare and submit the District reports required by the Constitution and Bylaws.

f. Turn over all minutes and records to the succeeding Secretary upon end of term of office.

4. Treasurer. The Treasurer shall be responsible for and oversee the following:

a. Payment or authorization of payment of the District membership (charter) fee to the National Office.

b. Receipt and deposit of all monies of the District into District accounts.

- c. Payment of all bills approved by an authorized officer or by the Board of Managers provided they are within the authorized current budget of the District.
- d. Execution of all checks, notes, and drafts as prescribed by District policy.
- e. Preparation of the District's financial report (including budget) to the Board of Managers.
- f. Comply with the requirements of the AAU Business Practices by infiling the required District and Sport Committee IRS 990 forms. A copy of the form(s) shall be available at the District's Biennial Legislative Meeting.
- g. Consult with the Finance **Committee** to prepare the District's budget.
- h. Furnish to the Board of Managers or Finance Committee when requested all monies, accounts, books, papers, vouchers and records pertaining to the office for audit or other purposes, and turn over all records to the successor when elected.
- i. **Review and approve District Sport Committee budgets.**

5. Registrar. The Registrar shall:

- a. Review and then approve or deny applications for membership.
- b. Credential eligible voters at all District Board of Managers meetings (as outlined in National Policies.)
- c. Monitor any event to assure compliance with AAU requirements.
- d. Insure a quorum of voting members exists at all management meetings and submit a credentials report to the District Secretary.
- e. Consider the transfer of athletes pursuant to the Bylaws.

6. Additional Officers. The duties of additional officers as established by the Board of Managers shall be specified by the Board of Managers.

- e. **Removal.** An elected Officer may be removed by:
 1. **On the order** of the National Board of Review **following the filing of a complaint and the Board's proceedings.**
 2. A two-thirds (2/3) vote of the Board of Managers at the Biennial meeting provided that the Notice of the meeting specifies that a motion to remove is on the agenda.
- f. **Vacancies.** In the event of the death, resignation, removal or incapacity of an officer, the District Executive Committee shall appoint an individual to serve in the position **until the next Board of Managers meeting where an election will be held to fill** the balance of the unexpired term.

4. Meetings, Notice and Quorum.

- a. **Biennial Meeting.** The Biennial meeting of the Board of Managers shall be held during the month of May or June in even years on a date selected by the Board of Managers two years in advance. If the Biennial Legislative meeting minutes do not reflect the date of the next Biennial Legislative meeting, the date shall be the first Saturday or Sunday in June. The Executive Committee shall select the time and location of the meeting.
- b. **Special.** The Board of Managers shall have special meetings upon the call of the Governor or upon the written request of at least one-third (1/3) of the Board of Managers. Notices shall be sent at least ten (10) days before the meeting and shall include the purpose of the meeting.
- c. **Executive Committee.** The Executive Committee shall meet at least once a year and at other times as it may deem appropriate. The Executive Committee shall meet upon the call of the Governor or by written request of 1/3 of the Executive Committee.
- d. **Notices.**
 1. **Time.** Notice of the Biennial Legislative or special meetings of the Board of Managers shall be given to all members at least thirty (30) days before the meeting (but no more than sixty (60) days.) . Notice for meetings of the District Executive Committee shall be not less than fifteen days or more than thirty (30) days.
 2. **Information.** The notice of a meeting shall contain the time, date, and site. For special meetings the purpose shall be given.
 3. **Service of Address.** The Notice shall be sent to the electronic address last given to the National Office by each member entitled to Notice.
- e. **Quorum.** At all meetings of the Board of Managers, a quorum shall consist of representatives from at least five (5) member clubs. At all meetings of the Executive Committee, a quorum shall consist of twenty percent (20%) of its members.

5. Committees.

- a. **Required Committees.** Each District shall have the following Committees:
 1. **Finance Committee.**
 - a. **Composition.** The Governor appoints the members and the Chair. The District Treasurer may not act as Chair of the Finance Committee.
 - b. **Duties.** The duties of the Finance Committee are to:
 1. Examine the accounts of the Treasurer, and report to the Executive Committee;
 2. Consult with the Treasurer to prepare the budget of the District and provide it to the Board of Managers for approval.

ARTICLE III
JUDICIARY OF THE AAU AND ITS ADMINISTRATIVE REMEDIES

A. Judicial Entities. The Judicial Entities of the AAU shall enforce the provisions of the AAU Code and ensure that members and affiliates are afforded due process by this Code.

1. National Board of Review

a. Jurisdiction. The Board of Review may review any decision, action, or omission by a member or other entity (other than the Congress) which is a part of the Union or any of its activities. The Board of Review may exercise original jurisdiction in any matter including the actions or omissions of a District member.

b. Composition. The National Board of Review shall consist of a maximum of five (5) members of the AAU composed as follows:

1. The President shall appoint the Chair from a panel of three (3) candidates nominated by the Board of Directors.
2. The Board of Directors shall nominate two (2) resident members from each of the four (4) zones from which Congress shall elect one (1) member from each zone.

c. Powers: The National Board of Review has the powers to:

1. Upon its own initiative, or pursuant to an appeal or a complaint, investigate any act or omission regarding violations of the AAU Constitution, Bylaws, National Policies or Sport Rules or District rules or policies.
2. Require the production of documents, oral or written statements, or any information or material relative to any matter before the Board.
3. Dismiss a complaint or appeal or conduct hearings and enter decisions relative to any matter before the Board.
4. Vacate, modify, sustain, or reverse any decision, mandate or order or refer matters to another entity for resolution.
5. Impose and enforce penalties, which may include but are not limited to suspension, expulsion, probation, fines, or disqualification.
6. Assess costs and expenses against the party creating such expenses.
7. Order an audit of a District, and depending upon the findings of the audit, refer cases for civil and/or criminal proceedings.
8. Establish, amend, rescind, interpret, review and enforce rules and procedures utilized by the AAU judicial bodies.
9. Interpret and enforce the provisions of the Constitution, Bylaws and National AAU Policies.

d. Eligibility to Serve. Any member of the AAU shall be eligible to serve on the Board of Review except voting members of the Board of Directors, members of the Board of Appeals, and National Sport Committee Chairs.

e. Term of Office. Members of the Board of Review shall serve until their terms expire, or until they resign, become disqualified, or are removed by a three-fourths (3/4) vote of the Congress. The term of office for those elected shall be four (4) years. Elections for the

Board of Review shall be held at Conventi on in years Officers are not elected. Persons holding office at adoption shall remain in office until 2020. The term of office for the appointed chair shall be six (6)years. All members of the Board of Review shall be eligible for re-election or re-appointment.

- f. **Vacancies.** Vacancies on the Board of Review may be filled by the President who will appoint a member to serve until the next meeting of Congress at which time the vacancy shall be filled as prescribed in this article.

2. National Board of Appeals.

- a. **Composition.** The National Board of Appeals shall be composed of the Chair of the Legislation Committee, the Chair of the Registration Committee and three other members of the AAU appointed from time to time by the President. The Chair of the Legislation Committee shall act as Chair.
- b. **Powers.** Any decision of the National Board of Review may be appealed to the National Board of Appeals. The National Board of Appeals may:
 - 1. Vacate, modify, sustain, reverse or rem and any decision of the Board of Review in which the appellant sustains one or more of the following bases of appeal:
 - a. Misapplication or misinterpretation of AAU Code or policies;
 - b. Newly discovered evidence;
 - c. Findings of fact contrary to the evidence presented; or
 - d. Excessive penalties.
 - 2. Assess costs and expenses against the unsuccessful party.
- c. **Standard of Review.** The standard of review of the Board of Appeals shall be whether there is substantial evidence to uphold the decision of the Board of Review and/or whether the Board of Review abused its discretion.

3. District Review Committee

- a. **Composition.** The Board of Managers shall elect three (3) members to the Review Committee, who shall serve a term of four (4) years. The Chair of the Committee shall be appointed by the Governor from among the elected Committee members. No more than two (2) members may be from the same sport.
- b. **Powers.** The District Review Committee powers are:
 - 1. Investigate allegations regarding violations of any rule or regulation to which District members are subject;
 - 2. Review any decision of a District Committee;
 - 3. Hold hearings in accordance with procedures established by the National Board of Review.
 - 4. Upon finding a violation, impose penalties, which may include suspension, expulsion, censure, reprimand, fines, restitution, warning, probation, or other appropriate penalty.

c. **Jurisdiction.** Complaints against club or individual members of the AAU in regard to a matter at the District level may be heard by the Review Committee.

4. **Infractions Committees and Disciplinary Officers.** National Sport Committees and District Sport Committees may designate individuals as disciplinary officers, or establish an Infractions Committee for the purpose of enforcing the rules of the sport. The authority of such officers or committee is establishing judicial procedures, rules shall be provided for in National Policies and the right to appeal.

B. Authority. The appropriate judicial body may exercise its authority as to any member, entity, or affiliate of the AAU which is determined to have violated the AAU Code including but not limited to the following:

1. **Fraud.** The falsification of the truth for the purpose of participation in any competition, or acts of deceit, misrepresentation, concealment, or a misstatement of fact or intention.

2. **Competing for Money.** An AAU event may not present any awards, prizes, or payments that would cause the athlete to be ineligible for high school competition or which would disqualify the athlete from receiving a college Scholarship.

3. Aid or abet any athlete to disqualify himself.

4. **Doping.** Doping is the administration of or the use by a competing athlete of any substance foreign to the body or of any physiological substance taken in abnormal quantity or taken by an abnormal route of entry into the body, with the intention of increasing performance in competition in an artificial and unfair manner. The use of, or to aid or abet the use of, controlled substances or doping by athletes is a violation.

5. Aid or abet the use of controlled substances or doping by athletes.

6. Unfair dealing in connection with athletic competition.

7. Violation of AAU rules or policies.

8. Aid or abet any person to violate the AAU Code. A club member may be held responsible for violations by persons acting as a representative of the club.

9. Fail to cooperate with an AAU Judicial Body relative to the investigation of any matter; or fail to give evidence in any inquiry when so directed by the Judicial Body.

10. Any act which disturbs or obstructs a competition or which disrupts the interests of the AAU, or which brings the AAU into disrepute.

C. Procedures.

1. **Hearings.** If a Judicial Body finds reasonable cause to believe the AAU Code, or other AAU rules or regulations, have been violated, it shall provide an opportunity for a hearing to the person(s) or entity charged before making any decision or imposing any penalty.

- a. Hearings may be waived by the party entitled to the hearing.
 - b. Reasonable notice must be given of the violation(s) charged, and the time, place and format of the hearing.
2. **Procedures.** The Board of Review shall establish standard operating procedures for the Judicial Bodies, which upon approval by the Board of Directors shall become National Policy.
3. **Appeals.** Any decision of an AAU entity may be appealed to the appropriate Judicial Body. The Board of Review shall establish standard appeal procedures which upon approval by the Board of Directors shall become National Policy.
4. **Reinstatement.** Any member or other entity which has been disqualified, suspended, or made ineligible, may seek reinstatement by submitting an affidavit and petition to the body which rendered the decision, setting forth the grounds for the request for reinstatement.

**BYLAWS
OF THE
AMATEUR ATHLETIC UNION USA, INC.**

BYLAW 1. OFFICIAL EMBLEM, SEAL, NATIONAL OFFICE.

- 1.1** The Official Seal of the AAU is two concentric circles approximately 1 ½” diameter and 1 7/8” diameter, respectively with a design representing “Fortuna” approximately “1-¼” high center in the circular rings. The words “Amateur Athletic Union of the United States MDCCCLXXXVIII” approximately 3/32” high are inscribed in a partial circle around the seal at a radius of approximately 5/8”.
- 1.2** The Official Emblem of the AAU is a modified Heraldic shield outlined in gold with the upper part of the field (chief) containing the letters “AAU” in red with a white background superimposed on a blue field. A gold line separates the “chief” field from the bottom of the shield. Seven red and six white vertical bars of equal width alternately extend from the base of the “chief” field downward to the gold peripheral outline. Superimposed on these vertical bars is the letters “USA” in white on a blue bar extending diagonally from the “dexter flank” to the “sinister base.” The blue diagonal bar is also outlined in gold.
- 1.3** Congress shall select a location for the business office of the AAU which shall be known as AAU National Office.

BYLAW 2. FISCAL AND LEGAL.

- 2.1 Fiscal Year.** The fiscal year of the AAU is September 1 through August 31.
- 2.2 Bonds.** Corporate fidelity bonds shall be obtained in a form and amount approved by the Board of Directors, indemnifying the AAU against losses resulting from infidelity, defalcation or misappropriation, fraud, and/or theft by the National Officers, and/or Districts Officers, and all employees and/or agents, of funds, property or assets owned by or under the control of the AAU. Upon recovery, if any, such funds or property shall be restored to the AAU entity (ies) which suffered the loss; or, if more than one (1) such entity – and, if recovery is less than 100%, any such restoration shall be on a pro rata basis.
- 2.3 Bank Accounts and Depositories.** The Board of Directors shall designate depositories for the funds, property, and assets belonging to or under the control of the AAU and by title designate the persons who are responsible for the control of such assets.
- 2.4 Checking, Savings and Depository Accounts.** All cash receipts must be deposited in to a designated checking account (in the General Fund). Funds on deposit in this bank account can be withdrawn only by check or letter of transmittal with the signature of any two (2) Officers (President, Vice-Presidents, Secretary or Treasurer), or their designees. Other assets or property of the AAU may be physically transferred from one financial institution to another by action of the Board of Directors.

2.5 Auditor and Audit Report. The Board of Directors shall select a Certified Public Accountant to audit the books and financial records of the AAU for the ensuing year. The auditor submit its report to the Board of Directors through the Finance Committee. A copy of the audit report shall be made available to each member of Congress at the next Meeting.

2.6 Budget. Budgets shall be prepared by the Treasurer, provided to the Board of Directors for review and submitted to Congress for approval at the Meeting.

2.6.1 The Treasurer shall prepare the budget, which may be reviewed by the Finance Committee.

2.6.2 The Treasurer shall submit the budget to the Board of Directors for review and/or revision and the Board shall recommend the budget to Congress.

2.6.3 The Treasurer shall submit the recommended budget to Congress at the AAU Convention for final approval.

2.7 Audit Committee

2.7.1 Composition. The Audit Committee shall consist of three (3) members of the Board of Directors who are elected by the Board of Directors.

2.7.2 Qualifications. Members of the Audit Committee must meet the requirements set forth in New York statutes, the state of incorporation.

2.7.3 Duties. Duties as established in National Policy.

2.8 Finance Committee.

2.8.1 The Finance Committee shall assist and advise the Officers and Staff in the planning function concerning long-term budgets, capital expenditures and long-term financial obligations, and keeping Congress regularly information of the general financial status of the Union.

2.8.2 Within a reasonable time after the end of the fiscal year and the completion of the outside audit, the Committee may audit and examine the accounts of the Treasurer. A report on the fiscal year will be made to the Board of Directors at the next meeting occurring after the close of the fiscal year and the completion of the outside audit.

2.8.3 The Finance Committee shall examine the accounts of the Treasurer, if requested to do so by a majority of the Officers or the Board of Directors. In such case, the Treasurer shall turn over all records pertaining to the office.

2.9 Investment Committee. The Finance Committee may establish an Investment Subcommittee.

2.9.1 Composition. The Committee shall consist of the Treasurer, the Chair of the Finance Committee, and two (2) at-large members appointed by the President.

2.9.2 Duties. Has authority over and controls the investment and reinvestment, purchases, sale and management of securities and other invested assets of the AAU.

2.10 General Counsel. The President, with the approval of a majority of the Board of Directors, may select a member of the legal profession as General Counsel. General Counsel advises and consults with the Officers and agents of the AAU, renders legal advice and assistance as may be requested, and performs any other duties delegated.

2.11 Special Counsel. The Board of Directors or President may authorize the appointment of special legal counsel when in the best interests of the AAU.

2.12 Contracts. All contracts to which the AAU is a party must be examined and approved as to form by Counsel of the AAU prior to execution. Any contracts over the value of \$2,500.00 are to be signed by the President and the Secretary. Any other contract may be signed by the staff as authorized by the President and Secretary.

2.13 Conflict of Interest. If any Officer, member of the Board of Directors, National Sport Chair, District Officer, District Executive Committee member, District Sport Director, or key employee has a financial interest in any transaction involving the AAU, such individual shall:

2.13.1.1 File a disclosure statement with the Compliance Department of the National AAU. The disclosure shall be filed as provided in AAU National Policy.

2.14 Compensation. Compensation of all paid personnel of the AAU will be approved by the National Officers. Any compensation of an elected officer will be approved by the Board of Directors. Approved compensation of the elected National Officers must be published on the AAU website.

BYLAW 3. EVENT LICENSE.

3.1 No event shall be conducted under the auspices of the AAU unless a written license has been issued for the activity. A license is the written approval of the AAU for the conduct of the activity.

3.2 Only AAU members shall be allowed to participate in licensed events unless otherwise provided in the Bylaws.

3.3 A license may be issued to any club in good standing and which meets the membership criteria. A license application should be reviewed by the District Sport Committee Director or the Governor if there is no District Sport Director. If the license application is rejected, the applicant shall be notified.

3.4 No license shall take effect until approved or until the 15-day review period has expired.

3.5 The National Registration Executive Committee has the authority to:

3.5.1 Issue a license in an unchartered District.

3.5.2 Issue a license for events in location where there is no active District member.

3.5.3 Issue a license for events in Districts where there is no current active participation. (No District Championship in the prior membership year).

3.5.4 Approve international competition.

3.5.5 Issue a license for events directly sponsored by the National AAU or National Sports Committee. (The National Sports Committee shall consult with the District Sport Director and Governor prior to seeking an event license.)

3.5.6 Review and approve any licenses rejected by the District.

3.5.7 Revoke an approved license for good cause.

3.6 Event licenses issued to one organization cannot be transferred to another organization.

3.7 No event license will be issued for any event where the word “Olympic” or any derivative thereof is used in any advertisements or notice in connection with the event except upon the specific written approval of the Board of Directors.

BYLAW 4. MEMBERSHIP.

4.1 Individual Membership. Membership is required of all persons who participate in the AAU in the following capacities:

4.1.1. Athletes

4.1.2. Coaches

4.1.3. Club Contacts

4.1.4. District Officers

4.1.5. District Committee Chairs, Sport Directors and Committee Members, Members of the District Board of Managers (Club Contact or Club Replacement Representative)

4.1.6. National Committee Chairs and Committee Members

4.1.7. Event Operators and event management listed on any event information

4.1.8. Members of the Board of Directors

4.1.9. Members of Congress

4.1.10 National Officers

4.2 Club and Individual Membership Applications. Applications for club and individual membership must be completed and submitted with the yearly membership fee. All club and individual memberships expire on August 31st of the membership year. All membership applications must be processed on-line or through the AAU National Office. [Rev. 10/07]

4.2.1 Review and Approval. All Club and youth memberships are effective immediately upon receipt of the application and fees by the AAU, subject to the right of the Registrar to review the application. Individual Adult memberships are effective immediately upon receipt of the application fees by the AAU and successfully passing a criminal background check, subject to the rights of the Registrar to review the application. Within thirty (30) days of the receipt of the application, the Registrar may reject an application for good cause. Applications are automatically approved if not rejected within thirty (30) days. The

decision of the Registrar to reject an application is subject to the appeal process established by Article III of the Constitution.

4.3 Membership and Residency.

4.3.1 Adult members will not be bound by residence requirements.

4.3.2 Youth members must register in the District of their bona fide residency, except as follows:

4.3.2.1 A youth member who resides in the county of one District that adjoins a county of another District and who attends a school located in the adjoining county will have the option of registering in either District.

4.3.2.2 A youth member subject to a written joint legal custody arrangement whose custodians reside in different Districts shall have the option of registering in either District.

4.3.2.3 A bona fide student at an educational institution may be considered a resident of the District in which the institution is located.

4.3.2.4 The National Board of Review shall determine issues of residency.

4.3.3 Persons living outside the U.S. may register on-line or through the AAU National Office. The respective National Sports Committee rules shall govern the participation of non-U.S. residents in AAU competitions.

BYLAW 5. DUES, FEES, REPORTS AND REMITTANCES

5.1 Dues and Fees.

5.1.2 District Membership Fees. Congress establishes District membership fees which shall be paid according to National Policy III.

5.1.3 Clubs Membership Fees. Club membership fees shall be established by Congress. Districts shall not establish additional club fees or club requirements.

5.1.4 Individual Membership Fees. Individual membership fees shall be established by Congress. Fees shall not exceed those established by the Congress.

5.1.4.1 Youth Program Membership. A youth membership (athlete or non-athlete) allows participation in all AAU youth sports.

5.1.4.2 Adult Program Membership. An adult athlete member must register and pay the appropriate fee in each sport in which the member participates.

5.1.5 Event License Fees.

5.1.5.1 The AAU will collect (in advance) the fee established by the Congress for the granting of an event license for the conduct of a competition, exhibition or demonstration. Districts shall not establish additional fees.

5.2 District Reports. The District shall make reports to the AAU as required by this Bylaw. The Governor and Secretary of the District are responsible for filing the reports. A District which fails to submit the required reports shall not be chartered. National staff shall report failures to the National Board of Review.

5.2.1. Notice. The District Secretary must forward to the National Office, in care of the Membership Services Department, a copy of the District Board of Managers Meeting notice, at the time it is sent to the member clubs.

5.2.2 Minutes. The District Secretary must, within thirty (30) days after each meeting of the District, mail to the National Headquarters of the AAU a copy of the minutes of the meeting with an attached list of attendees.

5.2.3 Request and Consent Form . Annually submit to the National AAU Office any IRS compliance forms requested.

5.2.4 All elected Officers of the District shall annually sign and file the Location of District Assets report with the District Office and forward a copy to the National Office.

5.2.5 The Districts shall report annually their listing of Officers, Sports Directors and appointments to the National Legislation and Registration Committee.

BYLAW 6. ELIGIBILITY

6.1 A youth member may elect to participate in his or her District of bona fide residence or a District that geographically adjoins that District. Exception: In team events the National Sports Committee shall determine the number of youth members permitted to participate on a team from an adjoining District.

6.2 Club Attachment. A youth member becomes attached to a club member when he/she competes with that club in any AAU authorized event (practice not included). A youth member may be attached to only one club with the following exceptions:

6.2.1 An athlete may attach to additional clubs (one in each sport) if he /she participates in additional sports.

6.2.2 Participation in an AAU authorized league does not create club attachment.

6.3 Transfers. Athletes who transfer under this section are subject to National Championship eligibility restrictions as adopted by National Sport Committees. After a youth member becomes attached to a club, he/she may only transfer to another club in the same sport as follows:

6.3.1 If the youth member has not competed in any AAU authorized events in that Sport for a period of sixty (60) days **no permission is necessary.**

- 6.3.2 If the representative of the club to which an athlete is attached signs a release for m permitting an immediate transfer. (Athletes who transfer under this section are subject to National Championship eligibility restrictions as adopted by National Sport Committees.) [Added 10/07]
- 6.3.3 When the transfer is for the purpose of competing with a team which has qualified for a National Championship as provided by National Sport Committee rules.
- 6.3.4 If the Registrar determines there is good cause to believe that the transfer is due to events outside the control of the athlete or that the transfer serves the best interest of the AAU.

BYLAW 7. COMPETITION.

- 7.1 **District Championships.** Districts may conduct Championships in any AAU approved sport. National Sport Committee rules determine whether District Championships qualify athletes/teams to National Championships.
- 7.2 Districts located within the same state may, by mutual consent, conduct an AAU Championship in any of the sports open to competitors from all such Districts.
- 7.3 **Special Competition.** In the event no com petition is planned in a Distric t, competition in a sport may be initiated by the National Sports Committee.
- 7.4 **Acceptance of Entry.** The person or committee designated to direct an authorized competition may accept or reject entries inaccordance with National Sport Committee rules and AAU Code.
- 7.5 **National Championship.** Each National Sport Committee shall establish the criteria for the placement of national championship events.
 - 7.5.1 **Award.** The Championships of the AAU shall beplaced by the appropriate National Sport Committee. A National Sport Co mmittee has the righ t to accept or reject any bid for national events.
 - 7.5.2. **Championship Contracts.** National Sport Committees shall execute a Championship contract for all National Cham pionship events. The contract shall include legal and financial rights, privileges, andresponsibilities of all parties, and shall not bebinding until approved and signed by the AAU, the Host Or ganization and the Chair of the National Sport Committee.
- 7.6 **Competitions Outside of the U.S.** Any competitions outside the U.S., if any, shall be subject to insurance coverage limitations.

BYLAW 8. DISTRICTS AND CHARTERING.

- 8.1 **District Membership.** Districts are chartered by Congress. Districts must complete a charter application and forward it with the yearly membership fee as defined by the Business Practices. Congress will not grant charters to District members unless the District has:

- 8.1.1 A minimum of ten (10) club members;
- 8.1.2 A minimum of 2,000 members;
- 8.1.3 Filed all required District reports;
- 8.1.4 Paid all fees due to the AAU.

8.2 District territories are established by Congress.

8.3 Redistricting Territories. Proposals to change territorial boundaries of Districts must be received by the National Secretary at least sixty (60) days prior to the date of the National Meeting.

8.3.1 The National Secretary shall give notice of the proposal to all Districts that will be affected by the proposal and shall forward the proposal to the Chair of the Legislation Committee.

8.4 Inter-District Sport Management Agreements. Adjoining Districts may enter agreements which permit one District to operate and manage sports within the territory of the other District. The agreement must be in writing and must be approved by the Board of Managers of both Districts, and the appropriate National Sport Committee. A copy of the agreement shall be filed by the AAU Compliance Department.

8.5 Names and Territories. The names and territories of the AAU Districts are as follows:

8.5.1 **ADIRONDACK** (Organized January 31, 1920) – That portion of New York State east and north of Broome, Cortland, Dutchess, Onondaga, Orange, Oswego and Sullivan Counties.

8.5.2 **ALASKA** (Organized December 3, 1965) - State of Alaska

8.5.3 **ARIZONA** (Organized March 10, 1956) – State of Arizona

8.5.4 **ARKANSAS** (Organized February 16, 1936) – State of Arkansas and Bowie County, Texas

8.5.5 **CENTRAL** (Organized 1890) – State of Illinois except Calhoun, Greene, Jersey, Madison, Monroe and St. Clair counties, however all Judo events in these counties shall be controlled by the Central District.

8.5.6 **CENTRAL CALIFORNIA** (Organized 1952) – Counties of Fresno, Inyo, Kern, Kings, Madera, Mariposa, Merced, Mono, Tulare in the State of California (Territory re-aligned December 1963)

8.5.7 **COLORADO** (Organized November 1906) State of Colorado (Territory re-aligned December 1963, 1965, 1968. Re-named October 2004.)

8.5.8 **CONNECTICUT** (Organized September 17, 1929) – State of Connecticut

8.5.9 **FLORIDA** (Organized January 1925) – State of Florida except Broward, Dade, that part of Hendry County west of Route 833, Monroe, and Palm Beach counties. (Territory re-aligned December 1958, 1959, 1963, 1972, and 1999)

- 8.5.10 FLORIDA GOLD COAST** (Organized December 30, 1959) – Counties of Broward, Dade, that part of Hendry County east of Route 833, Monroe and Palm Beach in the State of Florida. (Name changed from South Florida Association at 1960 Convention) (Territory re-aligned December 1963)
- 8.5.11 GEORGIA** (Organized January 6, 1951) – State of Georgia.
- 8.5.12 GULF** (Organized March 6, 1931) – That part of the State of Texas bounded on the North and including the counties of Angelina, Houston, Leon, Nacogdoches, Robertson and Shelby; on the East by the State of Louisiana; on the South by the Gulf of Mexico and on the West by and including the counties of Austin, Brazos, Colorado, Fort Bend, Grimes, Matagorda, Robertson, Waller, Washington and Wharton. (Territory re-aligned September 1992)
- 8.5.13 HAWAIIAN** (Organized November 1910) – State of Hawaii
- 8.5.14 INDIANA** (Organized August 22, 1919) – State of Indiana except Clark, Dearborn and Floyd Counties.
- 8.5.15 INLAND EMPIRE** (Organized April 25, 1937) – State of Washington, counties of Adams, Asotin, Benton, Chelan, Columbia, Douglas, Ferry, Franklin, Garfield, Grant, Kittitas, Klickitat, Lincoln, Okanogan, Spokane, Stevens, Walla Walla, Whitman and Yakima. State of Idaho. (Territory re-aligned September 1987 and October 2002 to include the territory of the Snake River Association which was originally organized December 2, 1978. Re-aligned in October 2014.)
- 8.5.16 IOWA** (Organized January 15, 1939) – State of Iowa.
- 8.5.17 KENTUCKY** (Organized February 27, 1939) – The Commonwealth of Kentucky and Clark and Floyd counties in Indiana. (Territory re-aligned September 1987, October 2003)
- 8.5.18 LAKE ERIE** (Organized January 5, 1931 as North eastern Ohio Association. Name changed at the 1956 Convention) The counties of Ashland, Ashtabula, Belmont, Columbiana, Crawford, Cuyahoga, Erie, Geauga, Huron, Jefferson, Lake, Lorain, Mahoning, Medina, Portage, Richland, Seneca, Stark, Summit, Trumbull, Tuscarawus and Wayne. (Territory re-aligned December 1960 and September 1991)
- 8.5.19 MARYLAND** (Organized 1981) – State of Maryland except the counties of Montgomery and Prince Georges. (Territory re-aligned December 1968)
- 8.5.20 MICHIGAN** (Organized November 1923) – State of Michigan (Territory re-aligned December 1962 and October 1971)
- 8.5.21 MIDDLE ATLANTIC** (Organized 1906) – State of New Jersey south of Mercer and Monmouth counties; the State of Delaware ; and the Commonwealth of Pennsylvania east of and including Bedford, Centre, Clinton and Potter counties. (Territory re-aligned December 1962)

- 8.5.22 MINNESOTA** (Organized April 25, 1932) – State of Minnesota. (Re-aligned September 1989).
- 8.5.23 MISSOURI VALLEY** (Organized February 14, 1931) – State of Kansas and that portion of the western part of the State of Missouri including and bounded by Adair, Audrain, Benton, Callaway, Christian, Cole, Greene, Hickory, Macon, Montineau, Morgan, Polk, Randolph, Schuyler and Taney (Territory re-aligned December 1962)
- 8.5.24 MONTANA** (Organized February 15, 1936) – State of Montana
- 8.5.25 NEBRASKA** (Organized June 26, 1922 as the Midwestern Association) – State of Nebraska (Territory re-aligned September 1986)
- 8.5.26 NEW ENGLAND** (Organized 1890) – States of New Hampshire, Maine, Rhode Island and Vermont; and the Commonwealth of Massachusetts. (Territory re-aligned September 1987 to incorporate the Maine Association which was originally organized December 9, 1934)
- 8.5.27 NEW JERSEY** (Organized April 21, 1930) – State of New Jersey north of and including Hudson, Mercer and Monmouth counties.
- 8.5.28 NEW MEXICO** (Organized May 29, 1947) – State of New Mexico and the counties of Brewster, Culbertson, Crockett, El Paso, Hudspeth, Jeff Davis, Presidio and Terrell in the State of Texas. (Territory re-aligned September 1983)
- 8.5.29 NEW YORK METROPOLITAN** (Organized 1890) – State of New York south of and including Dutchess, Orange, Sullivan and Ulster counties. (Re-named October 2004.)
- 8.5.30 NIAGARA** (Organized September 27, 1919) - State of New York west of and including Broome, Cortland, Onondaga and Oswego counties.
- 8.5.31 NORTH CAROLINA** (Organized December 5, 1965) - State of North Carolina
- 8.5.32 NORTH DAKOTA** (Organized December 1, 1962) – State of North Dakota
- 8.5.33 OHIO** (Organized May 1, 1923) - State of Ohio except the counties of Ashland, Ashtabula, Belmont, Crawford, Cuyahoga, Erie, Geauga, Huron, Jefferson, Tuscarawus, and Wayne; and the Dearborn County in the State of Indiana. (Territory re-aligned December 1959, 1960, 1962, 1963, October 1971, and October 2003)
- 8.5.34 OKLAHOMA** (Organized February 23, 1936) – State of Oklahoma
- 8.5.35 OREGON** (Organized September 23, 1935) – State of Oregon and Clark Cowlitz and Skamania counties in the State of Washington (Territory re-aligned September 1987)
- 8.5.36 OZARK** (Organized 1935) - State of Missouri east of and including the counties of Camden, Dallas, Douglas, Knox, Miller, Monroe, Montgomery, Osage, Ozark, Pike, Scotland, Shelby, and Webster (including the city of St. Louis). Counties of Calhoun, Greene, Jersey, Madison, Monroe and St. Clair in the State of Illinois with the

reservation that all judo therein be controlled by the Central Association. (Territory re-aligned December 1962)

8.5.36 PACIFIC (Organized 1890) State of California north of but not including the counties of Fresno, Madera, Mariposa, Merced, Mono and San Luis Obispo; and the State of Nevada except the counties of Elko, Eureka and White Pine. (Territory re-aligned December 1961, December 1962 and 1963, and October 2014 re-aligned to incorporate the Southern Nevada territory.)

8.5.36 PACIFIC NORTHWEST (Organized June 1905) – State of Washington, west of but not including the counties of Chelan, Kittitas, Okanogan and Yakima; and north of but not including Cowlitz, Klickitat, and Skamania.

8.5.37 PACIFIC SOUTHWEST (Organized December 10, 1949 as Pacific Border Association. Name changed in 1956) – Imperial and San Diego counties in the State of California.

8.5.38 POTOMAC VALLEY (Organized October 15, 1929 as the District of Columbia Association. Territory re-named December 1972) – All territory within the District of Columbia, counties of Montgomery and Prince Georges in the State of Maryland, and the counties of Arlington and Fairfax and cities of Alexandria and Falls Church in the Commonwealth of Virginia.

8.5.39 PUERTO RICO (Organized September 8, 1984) – Puerto Rico and U.S. Virgin Islands.

8.5.40 SOUTH CAROLINA (Organized December 5, 1965) – State of South Carolina

8.5.41 SOUTH DAKOTA (Organized December 4, 1965) – State of South Dakota

8.5.42 SOUTH TEXAS (Organized November 12, 1945) – State of Texas bounded on the East by and including the counties of Burt, Borden, Brown, Burnet, Callahan, Coryell, Falls, Houston, Lampasas, Leon, Milam, Mills, Nacogdoches, Robertson and Shelby; on the South by the Gulf of Mexico and the Republic of Mexico; on the West by and including the counties of Schleicher, Sutton and Val Verde; and on the North by and including the counties of Bell, Burnet, Coryell, Falls, Lampasas, Llano, Mason and Menard. (Territory re-aligned December 1961, 1963 and September 1992)

8.5.43 SOUTHEASTERN (Organized August 13, 1951) – State of Alabama and the State of Tennessee. (Territory re-aligned December 1958, December 1982, September 1989, and September 1999)

8.5.44 SOUTHERN (Organized 1892) – State of Louisiana and the State of Mississippi

8.5.45 SOUTHERN PACIFIC (Organized November 1909) – Including the counties of Los Angeles, Orange, Riverside, San Bernardino, San Luis Obispo, Santa Barbara and Ventura in the State of California. (Territory re-aligned October 1973)

8.5.46 SOUTHWESTERN (Organized May 8, 1936) – State of Texas bounded on the South but not including the counties of Angelina, Brown, Callahan, Coryell, Falls, Houston, Lampasas, Leon, Milam, Mills, Nacogdoches, Robertson and Shelby; on the East by the State of Louisiana and the State of Arkansas and Bowie County in Texas; on the North by the State of Oklahoma and Bowie County in Texas; and on the West by the counties

of, but not including Foard, Hardeman, Haske ll, Jones and Knox. (Territory re-aligned September 1992)

8.5.47 UTAH (Organized November 1910) – State of Utah and the Counties of Elko, Eureka and White Pine in the State of Nevada . (Territory re-align ed December 1978 and October 2014)

8.5.48 VIRGINIA (Organized December 9, 1934) – Commonwealth of Virginia except the counties Arlington and Fairfax and the cities of Alexandria and Falls Church. (Territory re-aligned December 1968)

8.5.49 WESTERN PENNSYLVANIA (Organized November 16, 1917 as the Allegheny Mountain Association) – Counties west of Bedford, Centre, Clinton, Huntingdon and Potter in the Commonwealth of Pennsylvania. (Territory re-aligned December 1959 and October 2003)

8.5.50 WEST TEXAS (Organized 1952) – State of Texas bounded on the South and including the counties of Concho, Irion, McCulloch, Pecos, Reeves, Regan, San Saba, Tom Green And Upton; on the West by the State of New Mexico; on the North by the State of Oklahoma; and on the East by the State of Oklahoma and by and including the counties of Brown, Callahan, Foard, Hardem an, Haskell, Jones, Knox, Mills, and San Saba. (Territory re-aligned December 1961, October 1976)

8.5.51 WEST VIRGINIA (Organized February 28, 1960) – The State of West Virginia (Territory re-aligned December 1963, October 1973 and October 2003)

8.5.52 WISCONSIN (Organized June 6, 1935) – State of Wisconsin (Territory re-aligned December 1964 and September 1989)

8.5.53 WYOMING (Organized December 9, 1968) – State of Wyoming

8.6 Zone Alignment. There shall be four (4) Zones of the AAU. The Districts which comprise the Zones of the AAU are:

8.6.36 Zone A. Adirondack, Connecticut, Lake Erie , Maryland, Middle Atlantic, New England, New Jersey, New York Metropolitan, Niagara, Ohio Potomac Valley, Virginia, Western Pennsylvania.

8.6.37 Zone B. Florida, Florida Gold Coast, Georgia, Gulf, Kentucky, North Carolina, Puerto Rico, South Carolina, South Texas, Southeastern, Southern, Southwestern, West Texas, West Virginia.

8.6.38 Zone C. Arkansas, Central, Indiana, Iowa, Ozark, Oklahoma, Michigan, Minnesota, Missouri Valley, Montana, Nebraska, North Dakota, South Dakota, Wisconsin, Wyoming.

8.6.39 Zone D. Alaska, Arizona, Central California, Colorado, Hawaiian, Inland Empire, New Mexico, Oregon, Pacific, Pacific Northwest, Pacific Southwest, Southern Pacific, Utah.

8.7 Regional Alignment. National Sport Committee rules may designate territory for Regional competition.

Bylaw 9. Meetings, Notices, Voting.

9.1 Meetings of the AAU of the U.S. Inc. The AAU shall hold a Convention at least every two (2) years. The business of the Convention shall include the Regular Meeting of Congress, the Regular Meeting of Sport Committees, Administrative Committees and any other matters pertinent to the AAU.

9.1.1 Representation at the AAU Convention.

9.1.1.1 District Representatives to Congress. The District must submit its list of delegates to Congress to the Compliance Department at the National Office on or before August 1st each year Congress convenes. If an elected member is unable to attend Congress, the Governor, or a person selected by the Board of Managers may assign, in writing, a replacement member.

9.1.1.2 National Sport Committee Representatives to Congress. Each National Sport Chair shall submit a list of sport delegates to Congress to the Compliance Department at the National Office on or before August 1st each year Congress convenes. The Chair may replace the named members as necessary to fill any vacancies.

9.1.1.3 District Representatives to National Sport Committees. If the District's elected or appointed Sport Director is unable to attend the meeting of the National Sport Committee, a District Officer, or a person selected by the Board of Managers, may assign, in writing, a replacement representative who shall be entitled to all rights and privileges due the District representative at that meeting.

9.2 District Representation to Congress. The District's representation in Congress is based on the number of individual members reported to the National Office by the deadline established by the Board of Directors. The formula used to calculate representation is as follows:

No. of Individual Members	Representatives to Congress
1st 2,000	3

One (1) additional representative for each additional one thousand (1,000) individual members. (If the total number of individual members shall number more than five hundred (500) it shall count as an additional one thousand (1,000) for calculating additional members of Congress.) A District shall have a maximum of 20 representatives under this section.

9.3 National Sport Committee Representation to Congress. The Sport Committee's representation in Congress is based on the number of individual members reported to the National Office by the deadline established by the Board of Directors. The formula used to calculate representation is as follows:

No. of Individual Members Representatives to Congress

150 to 500	1
501 to 1,000	2
1,001 to 5,000	4
5,001 to 10,000	6
10,001 to 20,000	8
20,001 to 35,000	10
35,001 to 50,000	12
50,001 to 65,000	14
65,001 to 80,000	16
80,001 to 95,000	18
95,001 to 110,000	20
110,001 to 125,000	21
125,001 to 140,000	22
140,001 or more	23

9.4 Notice. At least thirty (30) days' notice of regular meetings or twenty (20) days notice of special meetings shall be given to members of Congress and the members of a National Sport Committee. The Special Meeting Notice shall state the purpose of a Special Meeting.

9.5 Order of Meetings. At all meeting of Congress, Districts, and National Sport Committees, the following order of the meeting shall be observed.

Roll call of members(Credentialing process)

Call meeting to order

Establish rules of the meeting

Adopt Agenda

Approval of the minutes of preceding meeting.

Reports of Officers and Committees

Unfinished business

New business

- At District Biennial Legislative Meeting include an item to establish the Biennial Legislative Meeting Date

- Requests from the National Office to include items on the agenda

Elections

Announcements

Standing Resolutions

Adjournment

9.6 Rules of Order. Unless otherwise provided parliamentary procedures shall be governed by the latest edition of Robert's Rules of Order. The presiding officer may designate a qualified individual to serve as parliamentarian.

9.7 Mail or Electronic or Teleconference Voting. In the interval between meetings of Congress, a District Board of Managers, or a Committee, any action that can be taken at a special meeting can also be taken by mail or electronic vote. Members shall be given a date and time by which the vote shall be received if mail, or electronic, or teleconference voting is being used.

- 9.7.1** Mail/Electronic/Teleconference votes can be taken only after the proposition is presented, and notice given in the same manner as a special meeting.
- 9.7.2 Filing.** In every instance of a mail, electronic or teleconference vote, the Secretary shall preserve the ballot of each member in the files for a period of one year.

Bylaw 10. Composition of the Board of Directors.

- 10.1 The Officers.** The President, the First Vice-President, the Second Vice-President, Secretary and Treasurer.
- 10.2** An elected representative from each of the four Zones.
- 10.3** The Chairs of the Finance, Insurance, Legislation, and Registration Committees.
- 10.4** The Chairs of the ~~Adult Sports Council~~, Past Presidents' Council, and Sport Council.
(Effective after the 2018 National Convention.)
- 10.5** The elected Co-Chairs of the District Governors' Council.
- 10.6** The Chair, or the permanent designee, of the National Sport Committees whose National Sport Committee has registered five percent (5%) or more of the total membership of the AAU. (Registration will be determined utilizing the final numbers supplied by the AAU National Office which determine voting representation at the National Meeting.)
- 10.7** A representative recommended by the President and approved by the Board of Directors from a National Sport Committee not represented under 10.6.
- 10.8** The Governor, or permanent designee from any District whose individual membership registrations total four per cent (4%) or more of the total membership of the AAU. (Registration will be determined utilizing the final numbers supplied by the AAU National Office which determine voting representation at the National Meeting.)
- 10.9** A representative recommended by the President and approved by the Board of Directors from a District not represented under 10.8.
- 10.10** The Chair of the National Board of Review and the General Counsel will be non-voting members.
- 10.11** No individual may represent more than one of the above-listed categories.
- 10.12** The absence of any elected or appointed representative to the Board of Directors will not be filled by a designee.

Bylaw 11. National Councils.

- 11.1 Sport Council.** The Council will consist of the Chair of each National Sport Committee and up to two (2) at-large members to be appointed by the President. The President shall appoint the Chair.

11.1.1 Duties. The Council will:

11.1.1.1 Recommend broad policies for improvement and enhancement of the youth sport programs.

11.1.1.2 Encourage multi-sport competition in the youth program.

11.1.1.3 Conduct other business that will contribute to the cohesive planning for AAU sports programs.

11.1.1.4 Elect a representative to serve a two (2) year term on the National Board of Directors. (Effective after the 2018 National Convention.)

~~**11.2 Adult Sport Council.** (Effective following 2018 Convention) The Council will consist of the Chair of each Adult Sport Committee and up to two (2) at-large members to be appointed by the President. The President shall appoint the Chair.~~

~~**11.2.1 Duties.** The Council will:~~

~~**11.2.1.1** Recommend broad policies for improvement and enhancement of the adult sport programs.~~

~~**11.2.1.2** Encourage multi-sport competition in the adult program.~~

~~**11.2.1.3** Conduct other business that will contribute to the cohesive planning for AAU sports programs.~~

11.3 Past Presidents' Council. The Council will consist of all past National Presidents of the AAU. The Council will serve as a special advisory group to the President, Board of Directors. And Congress.

11.4 Zone Councils. The Zone Councils shall consist of a representative selected by the Governor from each District located in the Zone (Refer to Bylaw 8). Additionally, each National Sport Committee may appoint one (1) representatives from the Districts within the Zone to the Zone Council.

11.4.1 Chair. Every four (4) years in concert with National Officer elections at the Convention, an election for the Chair of the Zone Councils will be held. The Chairs of the Zone Councils shall conduct all council meetings and represent the Zone to the Board of Directors.

11.4.2 Vacancy. A vacancy by death, resignation, or removal shall remain so until the next regularly held election.

11.5 District Governors Council. The Council shall consist of all current District Governors. In case of absence, the District Governor may appoint another officer of the District who will represent the District.

11.5.1 Co-Chairs. Co-Chairs shall be elected for a 2-year term (even years), at the National Meeting of the AAU from the membership of the District Governors' Council. A Co-Chair must be a District Governor during the term of office. One Co-Chair shall be elected from Zone A or B; the other Co-Chair shall be from Zone C or D.

11.5.2 Duties. The Council will:

11.5.2.1 Consider and recommend policies for the improvement and enhancement of the general welfare of the Districts.

11.5.2.2 Serve as a special advisory group to the President, Board of Directors, Congress, and the National Office.

11.5.2.3 Conduct other business that will contribute to the growth and development of the Districts.

Bylaw 12. Miscellaneous.

12.1 AAU James E. Sullivan Award. The AAU James E. Sullivan award shall be presented annually. A Committee appointed by the President shall conduct the Sullivan Award program in accordance with guidelines established by the Board of Directors.

12.2 Life Membership. Honorary membership, known as Life Membership, may be granted to persons who contribute a minimum of \$125.00 to the AAU, and they shall receive the privileges established by the Board of Directors.

12.3 Indemnification. Each District shall indemnify its Officers and managers to the fullest extent allowed by law while acting reasonably within the scope of its capacity. This indemnification is secondary and in excess to coverage afforded under any insurance policy from any source.

12.4 Executive Director. There may be an Executive Director who shall serve as the chief operating officer of the AAU with direct responsibility for all staff functions. The Executive Director may be employed or dismissed by a majority vote of the Officers. However should any officer be employed as such, the Executive Director must be employed or dismissed by majority vote of the Board of Directors.

12.5 Substantial Compliance. Failure of literal or complete compliance in respect of dates and times of notice, or the sending or receipt of the same, or errors in phraseology of notice of proposals, which do not cause substantial injury to the rights of members, shall not invalidate the actions or proceedings of the members at any meeting.

12.6 Glossary of Terms. The Chair of the Legislation Committee and the National Board of Review shall prepare and maintain a glossary which shall define terms used in the AAU Code to be appended to these Bylaws. Any changes, additions or amendments to the glossary shall be submitted to the Board of Directors for approval.

AAU NATIONAL POLICIES

IF ANY PORTION OF ANY POLICY IS HELD TO BE INVALID, THE BALANCE NOTWITHSTANDING, SHALL CONTINUE IN FULL FORCE AND EFFECT.

I. **MEMBERSHIP POLICIES.** By submitting or authorizing an application for membership in the AAU, the applicant agrees and stipulates to the following terms and conditions:

A. The applicant agrees and consents to receive and accept all written or oral communications, including but not limited to e-mails, facsimiles, mail-outs, advertisements, and telephone calls from the AAU, its employees, Officers, agents, attorneys, volunteers, members, affiliates, subordinates, host organizations and clubs.

B. **BINDING ARBITRATION.**

1. **BY APPLYING FOR AAU MEMBERSHIP (OR HAVING A THIRD PARTY SUBMIT AN APPLICATION FOR MEMBERSHIP IN THE AAU ON BEHALF OF THE APPLICANT), OR UPON ENTERING ANY AAU EVENT, THE APPLICANT/MEMBER/ENTRANT AND THE AAU AGREE TO SUBMIT ALL CIVIL DISPUTE(S) ARISING OUT OF OR DURING THE TERM OF MEMBERSHIP TO BINDING ARBITRATION. THE ARBITRATION HEARING SHALL BE HELD IN THE COUNTY OF THE LOCALE OF THE AAU NATIONAL OFFICE UNLESS OTHERWISE SO SET BY THE AMERICAN ARBITRATION ASSOCIATION (“AAA”). THE PARTIES FURTHER AGREE TO SUBMIT THE MATTER TO ONE (1) ARBITRATOR PURSUANT TO THE RULES SET OUT BY THE AAA, INCLUDING THE FEDERAL ARBITRATION ACT AND TO THE ARBITRATION CODE OF THE NATIONAL OFFICE’S RESIDENT STATE, WHICH IS CURRENTLY FLORIDA. DUE TO THE EXPEDITED NATURE OF ARBITRATION DEPOSITION(S), REQUESTS FOR ADMISSIONS, AND REQUESTS FOR PRODUCTION OF DOCUMENTS ARE STRICTLY DISCOURAGED AND WILL NOT BE ALLOWED WITHOUT AN ORDER FROM AAA; AND, TO AID IN EXPEDITING ANY SUCH ARBITRATION, IN ORDER TO BE CONSIDERED, A REQUEST FOR ANY SUCH ORDER, IF ANY, SHALL ACCOMPANY THE FILING OF THE APPLICABLE PARTY’S FIRST SUBMISSION TO AAA OR SUCH REQUEST SHALL BE WAIVED AND/OR DENIED. A LIST OF WITNESSES AND ALL EXHIBITS TO BE INTRODUCED AT THE HEARING WILL BE EXCHANGED AT LEAST TWENTY (20) DAYS PRIOR TO THE HEARING. THE PARTIES AGREE THAT THE BINDING ARBITRATION SHALL BE IN LIEU OF ANY LITIGATION BY AND BETWEEN ALL OF THE PARTIES RELATED TO THE DISPUTE. IF ANY PORTION OF THE ARBITRATION AGREEMENT SHALL BE DECLARED INVALID OR UNENFORCEABLE, THE REST OF THE AGREEMENT (TO SO ARBITRATE) SHALL REMAIN IN FULL FORCE AND EFFECT.**

2. **THE PARTIES DECLARE THAT IT IS THEIR CLEAR AND UNMISTABLE INTENT FOR THE ARBITRATOR TO DETERMINE ANY AND ALL QUESTIONS OF ARBITRABILITY, IF ANY. ANY OBJECTION TO THE ARBITRATOR’S JURISDICTION, INCLUDING ANY OBJECTIONS WITH**

RESPECT TO THE EXISTENCE, SCOPE OR VALIDITY OF THE ARBITRATION AGREEMENT SHALL BE DECIDED BY THE ARBITRATOR. IF, HOWEVER, FOR ANY REASON, (SUCH) ARBITRATION IS DECLARED INVALID BY ANY COURT, IN THE ALTERNATIVE TO ARBITRATION, THE PARTIES AGREE TO WAIVE A JURY AND AGREE THAT ANY LEGAL ACTION BETWEEN THE PARTIES SHALL BE COMMENCED EXCLUSIVELY IN THE CIRCUIT COURT OF THE NINTH JUDICIAL DISTRICT FOR ORANGE COUNTY, FLORIDA, OR TO ANY OTHER COURT SITTING IN THAT COUNTY HAVING SUBJECT MATTER JURISDICTION, PURSUANT TO THIS FORUM SELECTION CLAUSE, WHICH IS AGREED TO BY THE PARTIES. [Rev. 3/09]

- 3. THE TIME LIMIT (STATUTE OF LIMITATIONS) FOR BRINGING ANY ACTION SHALL BE ONE (1) YEAR.**
- 4. DAMAGES. THE SUCCESSFUL PARTY IN ANY SUCH ARBITRATION AND/OR LITIGATION SHALL BE ENTITLED TO BE REIMBURSED FOR ITS ACTUAL AND CONSEQUENTIAL DAMAGES (BUT NOT PUNITIVE OR EXEMPLARY DAMAGES, IT BEING THE INTENT OF THE PARTIES TO HEREBY WAIVE ANY RIGHT TO SEEK AND THE PARTIES HEREBY COVENANT NOT TO SEEK, ANY PUNITIVE OR EXEMPLARY DAMAGES AND FURTHER AGREE THAT NO CLASS ACTION MATTERS SHALL BE BROUGHT).**

C. Indemnification/Assumption of Risk.

1. The member, applicant and/or participant releases and forever discharges, and covenants not to sue the Amateur Athletic Union of the U.S., Inc ("AAU"), AAU's Districts (formerly known as Associations), the Host (of the Event), Local Organizing Entity, and if applicable owners and lessors of premises upon which the activity takes place, (the foregoing collectively, including without limitation, the AAU, hereinafter for convenience referred to as the « Releasees »), together with the respective administrators, members (including all categories), volunteers, participants, sponsors and advertisers, together with the insureds, and the parent related, affiliated, subsidiary companies, and affiliated committees, as well as the officers, directors, agents, attorneys, employees, representatives, successors and assigns of each of the Releasees entities, and any other party indemnified and held harmless by the AAU (each considered one of the Releasees herein) from all liability, claims, demands, losses, or damages of the Member, Applicant, and/or Participant, caused or alleged to be caused in whole or in part by the action, inaction or negligence of the Releasees or otherwise, including but not limited to negligent rescue operations, negligent security, travel and recreation operations and activities; and further agree that if despite this release and waiver of liability, assumption of risk, and indemnity policy, the Member, Applicant and/or Participant or anyone on behalf of the Member, Applicant and/or Participant, makes a claim against any of the Releasees, the Member, Applicant and/or Participant and/or his/her parent or guardian will indemnify, save and hold harmless each of the Releasees from any litigation expenses, attorney's fees, loss, liability, damage, or cost which may be incurred as the result of such a claim. [Rev. 10/06]
2. The Member, Applicant and/or Participant understand that : (a) athletic activities involve risks and dangers of serious bodily injury, including permanent disability, paralysis, and

death (« Risks ») ; (b) these Risks and dangers may be caused by the Member, Applicant, and/or Participant's own actions or inactions, the actions or inactions of others participating in the activity, the condition in which the activity takes place, or the negligence of others ; (c) there may be other risks and social and economic losses either not known to the Member, Applicant, and/or Participant, or not readily foreseeable at this time ; and the Member, Applicant, and/or Participant accepts and assumes all risks and all responsibility for losses, costs, and damages the Member, Applicant, and/or Participant incurs as a result of participation in the activity.

D. Administrative Remedies.

1. Applicant agree(s) to comply with all the provisions of the AAU Code, and the rules and regulations of the AAU Sports and activities. Further, applicant acknowledge(s) that the AAU Code establishes a process by which disputes with the AAU or any entity of the AAU shall be adjudicated. Applicant agree(s) to submit any dispute or claim to the AAU Judiciary, to exhaust all administrative procedures thereunder, and to abide by the final resolution of the matter. The applicant acknowledges that the AAU is not a state actor, and that the due process, which is applicable to all disputes concerning the AAU is the AAU Code and which includes its Policies, as set out herein.
2. Members who do not follow the Administrative Procedures of the AAU shall be denied membership in the AAU.
3. Administrative remedies are exhausted upon the entry of an order from the National Board of Appeals.

E. Membership Limitations

1. All persons who apply to the AAU for an adult or non-athlete membership must give consent to the AAU to conduct a criminal background check.
2. Sexual Misconduct. It is the policy of the AAU to deny participation in the AAU to any individual for whom there is reasonable cause to believe that they have engaged in sexual misconduct. For the purposes of this policy, reasonable cause shall exist when:
 - a. Criminal or civil charges have been filed against an individual alleging sexual misconduct.
 - b. The individual has been convicted of a crime involving sexual misconduct.
 - c. Written allegations of sexual misconduct against the individual of reasonable probative value have been submitted to the AAU; or
 - d. The individual has been accused of sexual misconduct and the accusations have reasonable probative value.
3. Criminal Misconduct.
 - a. It is the policy of the AAU to deny membership in the AAU to any individual who has been convicted of a felony, or who has pending felony charges, or who has a substantial criminal history but no felony conviction. For the purposes of this policy, a person has a substantial criminal history when the membership background check

yields a negative report using criteria approved by the Compliance Department, and the Chair of the National Board of Review.

- b. Any person denied membership in the AAU pursuant to this policy may seek a review or reconsideration pursuant to the applicable procedures under the AAU Code.

4. Application for Policy Exception.

- a. Any person who has been convicted of a felony, or any offense of sexual misconduct or who has been denied membership due to a negative report on the membership background check may not apply for membership except directly through the National Office Compliance Department. The President of the AAU shall appoint one or more members of the AAU (Reviewer) to determine whether the application should be accepted. The criteria used by the Reviewer are whether the best interests of the AAU are served by accepting the application.
- b. Decisions of the Reviewer may be appealed to the National Board of Review; however, appeals do not stay the action of the Reviewer unless an Order for Stay is issued by the Board of Review Chair.

F. Misrepresentation.

1. Information provided to the AAU by the applicant/member shall be correct in every material aspect, including but not limited to street address, birth date, social security number (for adults and non-athletes), phone numbers, etc.
2. If an applicant/member fails to disclose a conviction for a felony or sexual misconduct or submits a membership application containing material information that is false (for purposes of this Policy any information as to conviction of a felony or any sex offense, as well as the applicant's name, address, date of birth, social security number shall be considered to be material, and if misrepresented, shall constitute fraud), then any membership acquired has been fraudulently obtained and is void. When the AAU has reasonable cause to believe that a membership has been fraudulently obtained, it may void the membership without notice or hearing and require that further application be made through the National Office as provided by this policy. Any person denied membership in the AAU pursuant to this Policy may seek a review or reconsideration pursuant to the applicable procedures under the AAU Code.

G. Sport Agents, Agencies and Representatives.

Any person who is a registered sports agent or a representative or employee of a sports agent or agency, or any person who has received compensation or consideration of any kind directly or indirectly from a sports agent or agency, is prohibited from involvement in the AAU throughout the entire fiscal year (September 1 through August 31) during which any such compensation or consideration is received except as specifically set out herein.

Violation of this policy may subject a club and/or athletes affiliated with the agent to penalties set out in the Code.

An agent, employee or representative of an agent may apply in writing to the AAU for an exception to this policy. If, in the sole discretion of the AAU, it is determined that the interests

of the AAU are best served, an **exception** may be granted. The **exception** may only be granted by the Chairman of the Board of Review. The **exception** must be in writing and is subject to reconsideration at any time and may be revoked by the Board of Review Chair at his sole discretion.

H. Agency. Membership in the AAU does not create an agency relationship. The authority of any member providing services to the AAU is limited to the terms of the agreement for services. The AAU does not organize clubs, or teams, and does not select coaches or club managers. Members shall not represent themselves to be agents of the AAU without specific written authorization from the National AAU. Acts of an AAU member not related to a licensed AAU event are not authorized by the AAU and therefore no agency relationship is created thereby.

I. Waiver/Release/Authorization to Use Likeness Names, Voice and Words. By submitting a membership application to the AAU, the applicant authorizes the AAU including without limitation, the Releases defined in Section C.1. hereinabove, in perpetuity, without compensation, reservation, or limitation, to allow the reproduction, dissemination, and/or publication of the applicant's name, nickname, image, voice, signature, facsimile, biological information, photograph, and/or likeness for media coverage, public relations, or any other purpose whatsoever, which may involve the use of photographs, films, and/or videotape recording and/or any other form of media, whether currently in existence or not, and understand that the AAU retains title, exclusive and unlimited rights to all internet streaming files including live and archived games, interviews, and events broadcast to the Internet. The AAU is under no obligation to exercise the rights herein granted. The applicant understands and agrees that he/she will not receive any payment for the possible commercial use of his/her name or likeness. [Rev. 10/06]

J. Notice of Claims. Any member of the AAU, who has knowledge of a claim, potential claim, or litigation against the AAU, shall notify the AAU in writing as soon as reasonably practical by forwarding notice of such claim to the National AAU at its home office, attention: President.

K. Participation Without Modification. In consideration of my/the minor's participation in any way in any Amateur Athletic Union of the U. S., Inc. ("AAU"), activity ("Activity"), I, for myself, or on behalf of the minor as parent or guardian, and/or my/the minor's personal representatives, assigns, heirs, and next of kin: acknowledge and understand that the nature of athletic activities and the participants' experience and capabilities and believe the participant (myself or the minor, as applicable) to be qualified, in good health and in proper physical condition to participate in such activity – as is, without modification, accommodation, or special personnel.

1. The AAU is not a place of public accommodation.
2. These policies shall be governed by the laws of the State of Florida.

L. Cooperation with AAU Bodies and Committees. All members of the AAU are required to cooperate with AAU bodies whether it is an investigation, an insurance claim, or other inquiry in the AAU.

II. MEMBERSHIP DATA.

A. All the registration/contact information including but not limited to names, addresses, telephone numbers, e-mail addresses, fax numbers, birth dates of the AAU membership commonly known as and referred to as the “membership data” is the exclusive and proprietary property of the National AAU and shall only be utilized for the conduct of official AAU business and promotion of the Amateur Athletic Union.

B. **Access to AAU Membership Data.** This information may be utilized as follows:

1. The President and/or Executive Director may authorize the National Staff to use membership data in the scope of their employment.
2. National Sport Chairs may use or authorize the use of the membership data of their respective sport.
3. District Governors may utilize, or may authorize, the use of their District’s membership data for the conduct and promotion of District business.
4. District Secretaries and District Registrars may use District membership data in the exercise of their duties.
5. District Sport Directors may send information to membership within their sport only with approval of their Governor.
6. Persons with access to membership data may elect to distribute information through the AAU National Office.

C. **District Governors.** If the Governor of the District wishes to distribute information to the District members in behalf of his/her club, that distribution shall be approved by the majority of the District Officers.

D. National Sport Chairs, District Governors, District Secretaries and District Registrars shall not disclose any membership data to non-AAU entities.

E. **Violation of Policy.** Any person who inappropriately uses AAU membership data, for any unauthorized reason shall be in violation of the Code and subject to disciplinary action.

III. FINANCIAL PRACTICES.

A. **INSUFFICIENT FUND CHECKS.** The AAU will not enter into any contractual arrangement with any individual or entity which has given the AAU or its Districts a check or other negotiable instrument that has been returned for insufficient funds until such time as the matter has been paid to the AAU’s satisfaction. The AAU shall discontinue doing business with any such entity or individual unless in the manifest weight of the facts that the best interest of the AAU would not be served by an immediate termination.

B. PURCHASING GUIDELINES. All National Office Departments, Sports and Administrative Committees must adhere to the following guidelines when purchasing goods and/or services prior to obligating the Union for any expense. All purchases over \$100 processed at the National Office will require a Purchase Order Form, despite the form of payment.

1. Purchases under \$500.00. All individual purchases of goods and/or services made on behalf of the AAU must be submitted for approval to the appropriate National Office Department Director or authorized Sport Committee representative. The representative shall review the Purchase Order to ensure purchase is in accordance with the approved budget.

2. Purchases between \$500.02-\$5,000.00. The same procedure as set forth above for purchases under \$500.00 is to be followed for purchases between \$500.01 to \$5,000.00, except that the Purchase Orders must be approved by the President, Treasurer, Executive Director or designee.

3. Purchases in excess of \$5,000.01. The same procedure as set forth above for purchases under \$5,000.01 is to be followed for purchases over \$5,000.01, except that Purchase Orders shall include three (3) written competitive price quote proposals. The selection of the bid shall be on the basis of the best interests of the AAU. Approval is made by the President or National Treasurer.

C. SOLICITATION OF FUNDS. Any solicitation of funds upon the behalf of the AAU, resources or goods from business entities, national foundations or United States governmental agencies, must be approved by the President. The following commission guidelines will be utilized in the case of new AAU sponsors and will not apply to bid fees in connection with national championships or similar AAU events, nor will they apply to current AAU sponsors or their renewals.

D. COMMISSION GUIDELINES.

1. Commission Fees: While all fees are subject to negotiation, the following will be considered the norm. The sports committee/chairman may negotiate, but the National Officers will need to approve any fees to be paid.

2. Finder's Fee: Five percent of the total sponsorship amount will be paid to an individual who introduces the AAU to a sponsor but does little work to finalize a contract. "Introduction" means the finder actually secures an appointment with the potential sponsor for the AAU representative. The appointment must be with someone who is in a decision-making position with the potential sponsor. The "finder" should normally be present for the initial meeting between the AAU and a potential sponsor. If a finder's fee is paid, no other commission will be paid.

3. Commission: If an individual introduces the AAU to a potential sponsor and follows through and is a principal part of the negotiation with that sponsor up to the time a sponsorship contract is finally signed, then that person will be entitled to receive up to a 20% commission of the total value of the first year of the contract. An additional commission of up to one-half of the initial commission paid for the first year will be paid for each year the sponsorship contract continues or is renewed.

4. Persons employed by the AAU at the National Headquarters are not eligible for commission.
5. When Payable: Commission fees will be payable only for cash sponsorships and when the monies have been received by the AAU. There will be no finder's fees on "in kind" payments by sponsors.

E. NATIONAL SPORT COMMITTEE FINANCES. Each National Sport Committee shall annually file a two-year fiscal budget with the Finance Committee by September 15th. The budgets are subject to review by the Finance Committee. The Finance Committee will review the budget prior to submitting it to Congress for approval. In order to receive reimbursement for any personal expenses, each National Sport Committee, will be required to submit a budget to the Finance Committee.

1. **Expenditures.** Reimbursement for travel and other expenses may be processed only after a Committee financial reimbursement policy has been adopted by the Committee and filed with the National Office. Any committee policy variances may be submitted to the National Treasurer for approval. The committee policy shall include the following:
 - a. The type of expense(s) which may be reimbursed.
 - b. The committee member's function(s) that shall be entitled to be reimbursed.
 - c. The signatures which must be obtained within the committee for reimbursement authorization. A minimum of two signatures are required. Receipts for all expenses must be provided for any expenses paid through an AAU issued credit card in excess of \$25.00.
2. Any reimbursement shall be subject to the committee's available funds and shall have receipts attached for all expenditures in excess of \$25.00. Receipts for all expenses must be provided for any expenses paid through an AAU issued credit card.
3. Deficit spending is not permitted without approval. Approval will be by a majority of the National Officers with specific amount approved. This agreement must be in written form and provided to the Finance Department.
4. AAU credit cards issued to the National Sport Chairs must be approved by a majority of the National Officers. The cardholder must sign a written agreement to be liable for any unapproved charges. A majority of the National Officers will revoke credit card privileges for a sport that has a negative balance. The card can only be re-issued by an agreement of a majority of the National Officers.

F. AAU CREDIT. The following are credit policies and procedures to be utilized when extending credit to Districts, Local Organizing Committees, and other approved individuals or entities.

1. **Sales Terms.** AAU will offer terms of N/30 to qualified customers. Invoices not paid within 60 days will be assessed a late fee of 1.0% per month. Requests for special terms must be documented and approved in advance by the National Treasurer and Finance Chair.

2. **Assigning and Approving Credit Limits.** Credit limits will be based upon each customer's payment history and expected level of purchase. The Treasurer or his/her designee may approve credit limits assigned to each customer whose purchases exceed \$1,000.00. Financial Services Department discretion will be used on amounts below \$1,000.00.
3. **Approving Orders.** Written approval from the President, or National Treasurer is required if orders exceed the pre-approved credit limit or if the customer has past-due invoices outstanding for more than 60 days without written approval from the Treasurer.

Items (awards, etc.) ordered by Districts must have the approval of the District Treasurer before the items will be shipped. Items will then be shipped.

4. **Re-evaluating Credit to Existing Customers.** Credit reevaluations will be performed on all accounts regularly (annually). Reevaluations will also be performed on any account when payment activity or other circumstances indicate a need.
5. **Past-Due Accounts.** Statements will be mailed to past due accounts no more than bi-monthly. On seriously delinquent accounts phone calls will be placed. Phone calls generally will be used only late in the collection process.
6. **Authorizing Settlements and Write-Offs.** Offers allowing a delinquent customer to pay an account in installments or settling for less than the unpaid balances require the National Treasurer's advance written approval.
7. The National Treasurer must approve any write-offs of amounts considered uncollectible.

G. District Charter Late Fee. The District Charter application, District Charter fee, and Request and Consent form are due on August 1st annually. If the District Charter fee is not received as of this date, a \$500.00 late fee shall be assessed to the District.

H. AAU Convention. All persons registered to attend the AAU Convention in any capacity, must stay at the hotel property/properties designated by the AAU, utilizing the hotel block as established for the Convention. Persons registered to attend the AAU Convention who do not comply shall pay an additional \$600.00 Convention fee. Registered attendees who own property in the city in which the Convention is located are exempt from this policy if they demonstrate ownership of the property.

I. Travel. The AAU will not provide any AAU Officer, director, trustee, or key employees with first class or chartered travel.

IV. USE OF MARKS AND LOGOS.

- A. AAU REGISTERED MARKS.** The AAU name, mark, seal, logo, and other insignia (all "AAU marks") are protected through trademark registration and are defined as the intellectual property of the AAU. No person or entity may use the AAU's intellectual property without the prior and continued approval of the National AAU. Only those subordinates and affiliated

organizations which are expressly authorized by the National AAU to use the intellectual property of the AAU shall use the marks. The National AAU may withdraw its approval to use its marks, at any time in its sole discretion. Members shall immediately comply with notice to cease and desist from the use of the AAU's intellectual property. The unauthorized use of any of the intellectual property of the AAU is a violation of this policy as well as the (Federal) Lanham Act and may subject the member/entity to penalties set out in the AAU Code. If granted approval/permission to use any of the AAU marks, the limited right to use the marks shall be non-exclusive and the user shall not acquire any ownership in or of such mark(s).

1. Only chartered Districts, District Sport Committees and National Sport Committees may use the AAU marks in their name.
2. There are three (3) levels of AAU club membership. Level 1 AAU member Clubs acquire no rights to use the AAU's intellectual property. Level 2 and 3 member Clubs are authorized to use AAU's registered marks only as follows:
 - a. For the purpose of promoting an AAU licensed event
 - b. For the purpose of promoting the club's AAU-related activities.
 - c. Neither members or clubs may use the name AAU in their legal name, domain name, email or any other name without the express written consent of the National AAU.
3. In the event of a dispute or conflict as to a member's/entity's claim(s) to use any of the intellectual property of the AAU, the Officers of the AAU shall decide and determine the dispute in their sole discretion.

B. USE OF OLYMPIC MARKS. No license of any athletic competition will be granted by the AAU for any event where the word "Olympic" or any derivative thereof is used in any advertisement or notice in any manner in connection with an AAU competition.

The Amateur Athletic Union of the U.S. Inc. has a limited license agreement with the United States Olympic Committee with regard to use of the terminology "Junior Olympics." The AAU does not grant the right to any subordinates, any affiliated organization, or any other entity to use the terminology "Junior Olympics" except as specifically authorized in writing by the National AAU.

V. AAU SPOKESPERSON.

- A.** The President of the AAU shall serve as the primary spokesperson for the organization. If the President is unavailable, then the staff member(s) designated by the President shall become the contact person. Designated staff members will contact the President, or act as the spokesperson if the President is not available.
- B.** Members of the AAU, including but not limited to coaches and/or volunteers, are not authorized to make statements on behalf of the AAU, or take any action(s) for the AAU, unless expressly and specifically authorized to do so by **the President**.

VI. TELEVISION/WEB STREAMING RIGHTS FOR NATIONAL EVENTS.

- A. Rights.** The television/web streaming rights for coverage of any AAU National Championship event or event approved by the National Sport Committee shall be owned solely and retained by of the National AAU. Except for permitting live news coverage, no media agreements may be entered into or executed by any District, Sport Committee, Host Organization or local entity for any national event or event authorized by the National Sport Committee.
- B. Guidelines for Allocation of Proceeds.** In considering a contract for television coverage of a single sport national championship event, the net proceeds shall be allocated under guidelines developed by the National Officers and approved by the Board of Directors. Proceeds from national multi-sport events shall be fully retained by the National AAU.
1. All income shall be received by the National AAU for distribution as established herein. All agreements determining the amount of income to be derived from television and/or web streaming shall be contracted with National AAU and executed by the President and by the Secretary.
 2. The gross proceeds, less all set-up, production and distribution costs incurred as a result of or in connection with the television shall be divided as follows:
 - a. 45% shall be distributed between the national sports committee and the host club as determined by the national sport committee.
 - b. 45% shall be retained by the AAU.
 - c. 10% shall be distributed to the appropriate District.

VII. WEBSITES AND SOCIAL MEDIA.

- A. Website Content.** Information on AAU websites shall be limited to AAU business, programs and operations. Any AAU website that contains material content that is not about the AAU shall be in violation of this policy. If a District website is found to be in violation, staff shall notify the District of the violation. If the website is not corrected immediately the District is in violation of the Code and subject to disciplinary action.
1. **Website Control.** All **websites controlled by the** National Sport Committees, Districts and District Sport Committees must provide to the AAU Information Systems Department, the user name and passwords for access to the domain name and ftp hosting site. Any website found to have inappropriate material, logos, or content of any kind may be modified or shut down by the AAU Information Systems Department. The AAU shall have the discretion in determining whether the website content is appropriate.
 2. **Club Information on Websites.** All club directories published on a website must be approved by the AAU National Office.
 3. **Website Domain Name.** The AAU of the U.S., Inc. shall own all domain names created and used by the AAU, its Districts, the National Sport Committees and District Sport Committees. This means that the registration shall show the AAU as the registrant and administrator of the website and that the control and management of the

website belongs to the AAU. The AAU has the right but not the duty to grant access back to the District, the National or District Sport Committee to manage the website.

B. Social Media.

1. National Sport Committee social media sites may be created by the National AAU. Upon appropriate approval, National Sport Committee designees may be eligible to update social media.
2. Districts and District Sport Committees may establish social media sites. They District or District Sport Committee must designate an individual to monitor the site for content. The AAU may require the District and/or District Sport Committee to remove content.

VIII. DEFENSE POLICY. It is the policy of the AAU to defend the National and District Officers, members and volunteers, or other appropriate persons from civil actions arising from their authorized activity on behalf of the AAU. This Defense Policy is conditional in the following particulars:

- A. The entity seeking the defense must have complied with the provisions of the AAU Code while engaged in the activity.
- B. The AAU will not defend any matter relative to drug testing. No license will be granted for an event that involves drug testing unless the National Sport Committee has first acquired, at its own expense, a liability insurance policy which designates the AAU as an insured, and which has been accepted by the Board of Directors in the exercise of its sole discretion.
- C. The AAU may provide a defense under a “reservation of rights” in which the defense is conditioned upon the continued compliance with the AAU Code, and cooperation with the Officers, and Counsel of the AAU.
- D. If any of the conditions of this Policy are not met, the AAU can deny or terminate the defense, and is entitled to recover any expenses incurred from making the defense.
- E. If the AAU provides a defense for any person or entity (through its insurance carrier or otherwise), the National AAU shall have the absolute right in its sole discretion to conduct and/or direct the defense of the matter as it sees fit, including but not limited to the right to settle the case. The person or entity being defended herein grants to the AAU a limited power of attorney to execute any documents (as) necessary to carry out the content and intent of this policy. [Added 10/08]

IX. MANAGEMENT OF EMERGENCY SITUATIONS.

- A. In the event of emergency or catastrophic situations, the President of the AAU shall serve as the primary spokesperson for the organization. If the President is not available, then the person or persons designated by the President shall become the contact person and the designated person will communicate with the President, and act as spokesperson if the President is unable to do so.

B. In the event of an emergency, the following steps shall be followed:

1. Notify the President of the AAU or the President's designee if the President cannot be reached. The spokesperson will then notify all appropriate parties.
2. Coaches, officials, or other volunteers at all levels, are not to comment on the matter until advised by the national spokesperson.
3. In the event of an injury do not comment or speculate as to injury severity.
4. Refer all media inquiries and questions to the AAU spokesperson.
5. Refer all investigative questions to the AAU spokesperson. This includes questions concerning insurance, claims, etc.
6. Any written statement must be reviewed by AAU Counsel prior to release. The statement should be factual, contain no speculation, and be approved by the AAU spokesperson.
7. The following procedures should be used when investigating an accident or incident:
 - a. Evidence should be preserved (i.e. faulty equipment, etc.) if possible
 - b. Identify all witnesses. Obtain name, address and phone numbers.
 - c. An accident description in the injured person's own words is extremely helpful.
 - d. If an athlete is involved in a serious injury, his/her parents or legal guardian should be notified immediately. Sympathy and concern are important during any family contact.
 - e. Notify the National AAU within 24 hours of any incident regardless of liability or severity. The spokesperson will notify the insurance carrier.

X. PROCEDURES FOR NOMINATION AND ELECTION OF NATIONAL OFFICERS, DISTRICT OFFICERS, DISTRICT SPORT DIRECTORS, AND OTHER DISTRICT OFFICES AND RECOMMENDATION OF THE NATIONAL SPORT CHAIRS.

A. Credentialing at the AAU Convention meetings.

1. The National Convention Chair/Credentials Chair will be responsible to ensure that credentialing is done by the national staff for all meetings where the election of two or more individual will take place. This will include National Sports Committees, Zone Committees, Governors Council, or any meeting requested by the Chair of said committee.
2. The National Convention Chair/Credentials Chairs will supervise and ensure that the credentialing process for Congress is established and supervised by the National Convention Chair/Credentials Chair.

B. National Officers.

1. **Qualifications.** **Nominees** must be a member of Congress.

2. Nominations.

- a. Only Districts and National Sport Chairs may nominate candidates for National Office. Nominations **by the District** must be reflected in the District's Board of Managers Biennial Meeting minutes.
- b. Candidates may be nominated for **not** more than **two** offices. The application for office shall include disclosure of all offices for which the candidate is being nominated.
- c. The **National** Secretary shall send notice and an application for office form to the Districts and National Sport Chairs by April 1st, the year before each election.
- d. **The deadline for nominations is August 15th prior to the meeting of Congress.** The nominating party must submit the application along with a written acceptance by the nominee and a brief biography stating the nominee's qualifications for the office.

3. **Candidate Verification and Announcement of Candidates.** The Chair of the Nominations and Elections Committee shall verify who is eligible to run for office. Any **disputes** about eligibility shall be **decided by** the National Board of Review. The **National** Secretary shall forward the names of the nominees and their biographies to all delegates to Congress **on or before September 10th.**

4. Use of the AAU Database during Campaign and Campaign Materials.

- a. **Except as set out below,** the current officeholder (incumbent) shall not make use of any AAU mail list, database information, etc., for the purposes of running or campaigning for office.
- b. Candidates who wish to distribute campaign information to current members of Congress may request assistance through the AAU Compliance Department. The Compliance Department will facilitate a maximum of three (3) electronic mail blasts (eblasts). To use the eblast system, the candidate shall electronically submit a copy of the material he/she wishes to distribute **in a form established by the AAU Information Technology (IT) Department.** The Compliance Department shall forward the material to the Nominations and Elections Chair **who shall** review the material submitted. The **Nominations and Elections Committee** has sole discretion to approve, or disapprove the content. Content may not include personal attacks against any other candidate or other derogatory comments or language. The Compliance Department is not responsible for spelling and/or grammatical content. The eblast process may take up to 10 working days to prepare and send. If this service for the candidate becomes controversial or contentious, the Nominations and Elections Chair has the sole right to not process the request. The decisions throughout the process are unappealable. The Nominations and Elections Chair is not required to keep a case file as to why the service was not provided. Candidates will be notified that the content was not approved and may correct and re-submit the content.
- c. Materials (flyers, brochures, apparel, election novelty items, etc.) to be used for campaign purposes during the AAU Convention must be forwarded for approval to the AAU Compliance Department. The Compliance Department shall forward the material(s) to the Nominations and Elections **Committee** to review the material submitted and has sole discretion to approve, or disapprove the content. Content may

not include personal attacks against any other candidate or other derogatory comments or language. Neither the Compliance Department nor the Nominations and Elections Committee is responsible for spelling and/or grammatical content. The decisions of the Nominations and Elections Committee throughout the process are unappealable. The Nominations and Elections Chair is not required to keep a case file as to why the material was not approved. Candidates will be notified that the material was not approved and may re-submit revised materials.

5. Elections. Elections will be conducted under the supervision of the Nominations and Elections Committee. Electronic voting or written ballots may be used to conduct the election. If there is only one candidate for office, the vote for that office may be taken by a voice vote.

- a. Delegates must be seated in the designated area in order to vote.
- c. If no candidate receives a majority of the votes, a run-off will be held until a candidate receives a majority of votes. In the run-off election, the candidate receiving the fewest votes shall be dropped.
- d. Election results will be sealed and stored at National Headquarters for a period of one year after elections.

6. Election Procedures. On Election Day the candidate(s) for office will be allowed a maximum of five (5) minutes to speak. Order of speeches for each office will be determined by draw.

C. District Officers.

1. Qualifications. Nominees must be a member of the Board of Managers.

2. Nominations.

- a. Only current Club Representatives, Officers, and District Sport Directors may nominate candidates for District Office.
- b. Candidates may be nominated for more than one office. The application for office shall include disclosure of all offices for which the candidate is being nominated.
- c. A notice of election and an "Nomination for Office" form shall be forwarded to each current Club Representative, current Officer, and District Sport Directors not less than 60 days or more than 70 days before the Biennial Meeting in May or June.
- d. The deadline for receiving nominations is thirty (30) days prior to the District's Biennial Board of Managers meeting. The nominating party must submit the application along with a written acceptance by the nominee and a brief biography stating the nominee's qualifications for the office. The nominating party shall indicate its category of membership on the District Board of Managers. All nominations which do not include the required information shall be considered incomplete and the candidate will not be eligible to run for office. Nominations shall be submitted to the District Nominations and Elections Committee Chair with a copy to the District Secretary.
- e. In the event that no eligible nomination has been submitted for a particular office of the District, the current office holder shall continue in office until the next Biennial

Meeting, or until a Special Meeting is called to elect the successor. If the current office holder chooses not to continue in office, then there is a vacancy. The vacancy will be filled as prescribed in the Constitution with an appointment made by the District Executive Committee until the next Board of Managers meeting where an election will be held to fill the balance of the unexpired term. [Added 10/06]

3. Nominee Verification.

- a. The District Nominations and Elections Chair and the District Secretary shall jointly verify who is eligible to run for office. If the Chair and the Secretary do not agree, a ruling will be made by the AAU National Board of Review.
- b. For the Biennial Board of Managers meeting, the Nominations and Elections Committee shall compile the list of candidates for office and have copies of the biography and nomination form for each candidate for office available for all members of the Board of Managers.

4. Use of the AAU Database during Campaign and Campaign Materials.

- a. The current officeholder (incumbent) shall not make use of any AAU mail list, database information, etc., for the purposes of running or campaigning for office.
- b. Candidates who wish to distribute campaign information to current members of the Board of Managers may request assistance through the AAU National Office. The Compliance Department will facilitate a maximum of one electronic mail blast (eblast). To use the eblast system, the candidate shall electronically submit a copy of the material he/she wishes to distribute in a form established by the IT Department. The District Nominations and Elections Committee Chair shall review the material submitted and has sole discretion to approve, or disapprove the content. Content may not include any personal attacks or other derogatory comments or language. Neither the Compliance Department nor the District Nominations and Elections Committee is responsible for spelling and/or grammatical content. The eblast process may take up to 10 working days to prepare and send. If this service for the candidate becomes controversial or contentious, the District Nominations and Elections Chair has the sole right to not process the request. These decisions throughout the process are unappealable. The District Nominations and Elections Committee is not required to keep a case file as to why the service was not provided. Candidates will be notified that the content was not approved and may correct and re-submit the content.

5. Election Procedures.

- a. On Election Day each candidate for office will be allowed a maximum of three (3) minutes to speak. Order of speeches for each office will be determined by draw. If there is only one candidate for office, the vote for that office may be taken by voice vote.
- b. Order of elections is Governor, Lieutenant Governor, Secretary, Treasurer and Registrar. If the District has authorized additional officers, the order of election for the additional officers shall be as the District determines.
- c. Elections will be conducted by written ballot under the supervision of the District Nominations and Elections Committee. If there is only one candidate for office, the vote for that office may be taken by a voice vote.

- d. The District Nominations and Elections Committee shall determine the procedure for distribution of ballots.
- e. If no candidate receives a majority of the votes, a run-off ballot will be held until a candidate receives a majority of votes. In the run-off election, the candidate receiving the fewest votes shall be dropped from the ballot.
- f. For a run-off during the election, the announcement of the run-off candidates and the ballots will be given out simultaneously. There will be a different color ballot for the run-off election.
- g. All ballots will be sealed and stored with the District Secretary, or at a place that the District Secretary designates for a period of one year after the election(s).

D. District Sport Directors.

1. Qualifications. **Nominees** must be a current member of the District Sport Committee.

2. Nominations.

- a. Only **current** Club Representatives and the current District Sport Director may nominate a candidate for the District Sport Director.
- b. Sixty (60) days before the date of the Annual Sport Committee meeting, if there are 5 clubs registered in the sport, the District Secretary shall send notice of the up-coming election, and an “Application for Office” form to the Club Representatives of the District Sport Committee and the current District Sport Director. If there are not 5 clubs registered with the sport in the District, the Governor shall appoint the Chair.
- c. The deadline for receiving nominations is as of thirty (30) days prior to the date of the District’s Sport Committee’s Biennial meeting. The nominating party must submit the application along with a written acceptance by the nominee and a brief biography stating the nominee’s qualifications for the office. The nominating party shall list current club and individual membership information on the nominating form. Nominations shall be sent to the District Secretary with a copy to Nominations and Elections Chair and the District Sport Director.
- d. In the event that no eligible nomination has been submitted for the position of Sport Director, a vacancy is created. Unless the Sport Committee operating rules specifically provide for a method of filling the vacancy, the Director is appointed by the Governor, with the approval of the National Sport Chair. The position will again be subject for election at the next Sport Committee Biennial Meeting, and the person elected shall complete the term.

3. Nominee Verification and Announcement of Candidates. The Nominations and Elections Chair and the District Secretary shall jointly verify who is eligible to run for office. If the Chair and the Secretary do not agree, a ruling will be made by the AAU National Board of Review.

4. Use of the AAU Database during Campaign.

- a. The current officeholder (incumbent) shall not make use of any AAU mail list, database information, etc., for the purposes of running or campaigning for office.
- b. Candidates who wish to distribute campaign information to current year member club contacts may request assistance through the AAU National Office. The Compliance

Department will facilitate a maximum of one electronic mail blast (eblast). To use the eblast system, the candidate shall electronically submit a copy of the material he/she wishes to distribute in a form established by the IT Department. The District Nominations and Elections Committee shall review the material submitted and has sole discretion to approve, or disapprove the content. Content may not include any personal attacks or other derogatory comments or language. Neither the Compliance Department nor the District Nominations and Elections Committee is responsible for spelling and/or grammatical content. The eblast process may take up to 10 working days to prepare and send. If this service for the candidate becomes controversial or contentious, the District Nominations and Elections Committee has the sole right to not process the request. The decisions throughout the process are unappealable. The Nominations and Elections Chair is not required to keep a case file as to why the service was not provided. Candidates will be notified that the content was not approved and may correct and re-submit the content.

5. Election Procedures.

- a.** For Election Day, the District Sports Director shall prepare written ballots (including run-off ballots) for the election of the District Sport Director office and bring them to the meeting.
- b.** On Election Day, if there is no quorum (5 club representatives in attendance), no election is held and the Governor shall appoint the Sport Director. If there is a quorum, the election shall proceed.
- c.** The members present on Election Day shall elect by majority vote a "Voting Supervisor" to conduct the election and two other individuals to count the ballots under the supervision of the elected voting supervisor.
- d.** Each candidate will be allowed a maximum of three (3) minutes to speak. Order of speeches will be determined by draw held by the Voting Supervisor.
- e.** Elections will be conducted by written ballot. If there is only one candidate for office, the vote for that office may be taken by a voice vote. The District Nominations and Elections Committee may assist with the election procedure.
- f.** The District Sport Committee operating rules shall determine the procedure for distribution of ballots.
- g.** If no candidate receives a majority of the votes, a run-off ballot will be held until a candidate receives a majority of votes. In the run-off election, the candidate receiving the fewest votes shall be dropped from the ballot.
- h.** For a run-off during election, the announcement of run-off candidates and the ballots will be given out simultaneously. There will be a different color ballot for the run-off election.
- i.** All ballots will be sealed, forwarded and stored with the District Secretary, or at a place that the District Secretary designates for a period of one year after the election.

E. Nomination for National Sport Chairs.

- 1.** The Chair of a National Sport Committee is elected by the National Sport Committee at the AAU Convention in the same year that the National Officers are elected. If no nomination is received for a National Sport Chair or there is a vacancy for any other reason, the National Sport Chair shall be appointed by the President with the approval of the majority of the National Officers. All National Sport Committees shall follow the procedures adopted in this policy for the election of the National Sport Chair.

2. Qualifications. Nominees must be both a member of Congress and the **same** National Sport Committee **to be** eligible to serve as National Sport Chair.

3. Nomination Form.

- a. Only members of the National Sport Committee and the current National Chair may submit a nomination form for National Sport Committee Chair.
- b. The National Secretary shall send notice and a nomination form to members of the National Sport Committee by April 1st, the year before the election is made.
- c. The deadline to submit the nomination form is **August 15th** prior to the start of the National Sport Committee meeting. The nominating party must submit the nomination form along with a written acceptance by the nominee and a brief biography stating the nominee's qualifications for the position.

4. Announcement of Nominees. The **National** Secretary shall forward the names of the applicants and their biographies to all members of the National Sport Committee **on or before September 10th**.

5. Voting. The vote for National Sport Chair election shall be conducted by **electronic voting** **or** written ballot under the supervision of the Nominations and Elections Committee. If there is only one applicant for National Sport Chair, the vote may be taken by a voice vote.

6. Voting Procedures. The following procedures shall be adhered to:

- a. **Speeches.** On the day of the vote, the candidates for National Sport Chair will be allowed a maximum of three minutes to speak. Order of speeches will be determined by draw.
- b. **Delegates eligible to vote must be seated in the designated area in order to vote.**
- c. If no candidate receives a majority of votes, a run-off will be held until a candidate receives a majority of votes. In the run-off election, the candidate receiving the fewest votes shall be dropped from the ballot.
- d. All ballots will be sealed and stored at National Headquarters for a period of one year after the vote.

F. Zone Representative

1. Qualifications. Nominee must be a member of Congress. **To be eligible to serve as Zone Representative, the nominee must be a representative of the same zone.**

2. Nominations.

- a. **Only Districts, District Governors and National Sport Chairs may nominate Zone Representatives. Districts and District Governors may only Nominate Zone Representatives from their same Zone. Nominations by the District must be reflected in the District's Board of Managers Biennial Meeting minutes.**
- b. **The National Secretary shall send notice and a nomination form to Districts and Governors by April 1st, prior to the meeting of Congress.**
- c. **The deadline for nominations is August 15th prior to the meeting of Congress. The nominating party must submit the nomination form along with a written acceptance by the nominee and a brief biography stating the nominee's qualifications for the office.**

3. Candidate Verification and Announcement of Candidates. The Chair of the Nominations and Elections Committee shall verify who is eligible to run for office. Any disputes about eligibility shall be decided by the National Board of Review. The National Secretary shall forward the names of the nominees and their biographies to all Governors and Districts within each Zone on or before September 10th.

4. Elections.

- a. Elections will be conducted under the supervision of the Nominations and Elections Committee. If there is only one candidate for office, the vote for that office may be taken by a voice vote.
- b. Eligible delegates will vote for Zone Representatives within their same Zone.

5. Voting Procedures. The following procedures shall be adhered to:

- a. Speeches. On the day of the vote, the candidates for Zone Representatives will be allowed a maximum of three minutes to speak. Order of speeches will be determined by draw.
- b. Delegates eligible to vote must be seated in the designated area in order to vote.
- c. If no candidate receives a majority of the votes, a run-off will be held until a candidate receives a majority of votes. In the run-off election, the candidate receiving the fewest votes shall be dropped.
- d. Election results will be sealed and stored at the National Headquarters for a period of one year after elections.

G. District Governors Council Representatives (Co-Chairs of the District Governors Council)

1. Qualifications.

- a. Nominee of District Governors Council Representative of Zone A & B must be a Governor in Zone A or B and a member of Congress.
- b. Nominee of District Governors Council Representative of Zone C & D must be a Governor in Zone C or D and a member of Congress.

2. Nominations.

- a. Only Districts and District Governors may nominate District Governors Council Representatives. Nominations by the District must be reflected in the District's Board of Managers Biennial Meeting minutes.
- b. The National Secretary shall send notice and a nomination form to Districts and Governors by April 1st, prior to the meeting of Congress.
- c. The deadline for nominations is August 15th prior to the meeting of Congress. The nominating party must submit the nomination form along with a written acceptance by the nominee and a brief biography stating the nominee's qualifications for the office.

3. Candidate Verification and Announcement of Candidates. The Chair of the Nominations and Elections Committee shall verify who is eligible to run for office. Any disputes about eligibility shall be decided by the National Board of Review. The National Secretary shall forward the names of the nominees and their biographies to all Governors and Districts on or before September 10th.

4. Elections.

- a. Elections will be conducted under the supervision of the Nominations and Elections Committee. If there is only one candidate for office, the vote for that office may be taken by a voice vote.
- b. Eligible delegates of District Governors Council Zone A & B will vote in the election for District Governors Council Representative of Zone A & B. Eligible delegates of District Governors Council Zone C & D will vote in the election for District Governors Council Representative of Zone C & D.

5. Voting Procedures. The following procedures shall be adhered to:

- a. **Speeches.** On the day of the vote, the candidates for District Governors Council Representatives will be allowed a maximum of three minutes to speak. Order of speeches will be determined by draw.
- b. Delegates eligible to vote must be seated in the designated area in order to vote.
- c. If no candidate receives a majority of the votes, a run-off will be held until a candidate receives a majority of votes. In the run-off election, the candidate receiving the fewest votes shall be dropped.
- d. Election results will be sealed and stored at the National Headquarters for a period of one year after elections.

XI. BUSINESS PRACTICES.

A. The following business practices are required of the indicated entities.

1. No District, District Sport Committee, or National Sport Committee shall have its own 501(c) 3 determination letter; if they currently have a 501(c)3 determination letter, they must relinquish it immediately;
2. All AAU District(s) shall be unincorporated entities;
3. The National AAU will become responsible for filing annually in all 50 states and the District of Columbia with DBA's listing the AAU Districts;
4. Each District must have its own EIN (Employer Identification Number);
5. AAU District Sports Committee(s) operating a bank account must have its own EIN, if it is not using the District EIN;
6. Any National AAU Sports Committee operating a National Championship event or any other event in the name of the committee and operating a bank account must have its own EIN;
7. Any AAU District Sports Committee(s) operating a bank account under the District's EIN must file a finance report to the District;
8. No organization shall use the EIN number of the Amateur Athletic Union of the U.S. Inc.;

9. All District(s), District Sport Committee(s), and National Sport Committee(s) desiring to be recognized as a 501(c)3 under the AAU of the US' group exemption must annually file the required request and consent form;
10. No District (s), District Sport Committee(s), National Sport Committee(s) will participate/operate charitable gaming without the express written consent of Board of Directors;
11. The District Charter application, District charter fee, District request and consent form, District location of assets report and directory report are due on August 1st annually.
12. All subordinates/affiliated organizations must file a Form 990 with the IRS annually;
13. The AAU shall have a 3 level club membership program with differing benefits;
14. Level 3 clubs shall not conduct directly or indirectly any gaming. The term gaming includes: Bingo, Beano, lotteries, pull-tabs, pari-mutual betting, Calcutta wagering, pickle jars, punch boards, tip boards, tip jars, certain video games, casino games, sport betting, etc.;
15. All subordinates/affiliated organizations must adhere to the AAU trademark policy;
16. Any District(s) or National Sport Committee(s) in violation of this policy shall forfeit voting rights at meetings of Congress.
17. No AAU District or Sport Committee shall own a vehicle.
18. All AAU Districts shall submit copies of (all of) their (IRS) 990 filings to the National AAU within ten (10) days of such filing(s); all such filing(s) shall be timely.
19. The AAU shall pay to the District s fifty cents (.50) for each athlete registration in that District. These funds shall be made available to the District Sport Committee/Director of the sport in which the registration was credited. The funds shall be used to develop/promote the sport in the District. The District Sport Directors shall request reimbursement or payment from the District.
20. District representatives to Congress (delegates) and National Sport Committees representatives to Congress (delegates) must be finalized and submitted to the AAU on-site Office at the Convention no later than 8:00 p.m. the night before Congress meets. After this time no changes may be made.
21. At Board of Directors meetings and meetings held at the AAU National Convention, electronic voting may be used.

XII. EVENT LICENSING.

- A. **Practice.** For the purpose of AAU event licensing, "practice is organized and/or regularly scheduled sessions supervised at all times by a registered non-athlete member of the AAU and conducted for the purpose of preparing, training, instructing and conditioning only AAU member athletes for AAU licensed competitions. Tryouts and scrimmages are included as long as they meet all the requirements of the above definition.
- B. **Supervision.** Supervision requires that an AAU non-athlete member (coach/instructor) be physically present at all times at the practice premises or site during each practice session. (The Supervisor must be an AAU member who has undergone a background check.)
- C. **Event License Categories.** Events that are Licensed by the AAU shall be categorized as one of the following :
 1. Practice.
 2. Preliminary

3. Invitational
4. League
5. Demonstration/Clinic
6. Multi-Sport/Sports Festivals
7. **Tournament Series**
8. District Championships
9. National AAU Events
10. National Sport Committee Events

- a. Championship Event
- b. Regional Event
- c. Super-Regional
- d. Grand Prix
- e. League
- f. Clinic/Demonstration
- g. Invitational
- h. **International**
- i. Other

D. Event License Regulations.

1. An event license may list only ONE (1) event category per license application. Event license applications which list more than one category will be denied.
2. A club must obtain appropriate membership in any District in which it wishes to license an event **or conduct a practice or exhibition/scrimmage.**

E. National Championship Event Licenses. The host organization awarded a National Championship event by the AAU must obtain the license for that event as of September 1st of the fiscal year the event is to be held. (The AAU fiscal year is September 1st through August 31st.) **Exception: The National Sport Committee may choose to serve as the Host and license the event through its own Administrative club.**

XIII. INTERNATIONAL COMPETITION.

A. The AAU authorizes National Sport Committees to participate in international events in the U.S. and abroad subject to the following:

1. National teams may represent the AAU at events outside the United States.
2. AAU National Sport Committees may host international events.

B. International competition must receive prior approval from the National Registration Executive Committee.

XIV. SPORT COMMITTEES.

A. Sport Status. To qualify to have a National Sport Committee within the AAU, a sport shall have registered a minimum of 500 athletes during the previous calendar year and have activity in four (4) or more chartered Districts. Sports which have not met these criteria are ineligible to conduct a National Championship event except as a part of the AAU Junior Olympic Games or other existing National Championship.

B. Procedure for Approval of New Sport Activity.

1. The organization or group representing the sport which wishes to be part of the AAU forwards a proposal to the President of the AAU, as well as to the Chair(s) of the AAU Youth and/or Adult Sport Council(s). The proposal should contain the following:
 - a. Express a desire for the AAU to offer the sport.
 - b. Provide the general rules of the sport. State whether the sport utilizes NGB rules or other nationally known organization rules.
 - c. Specify the age groups, divisions (youth, adult, senior, masters), age determining dates and other primary eligibility rules.
 - d. List of key personnel who should be involved in setting up the sport.
 - e. Current participation numbers and estimate of number of registrations anticipated (individual athletes, clubs, etc.). Projection of schedule of events should be provided as well. The minimum number of registered athletes for acceptance is an overall total of 500 members registered in the sport from at a minimum of 4 Districts. Sports that have not met this requirement may petition to the National Officers with a specific plan to reach requirements.
2. The AAU President or ranking official will present the proposal to the Youth and/or Adult Sports Council, as well as the Insurance Committee for acceptance. If approved by the Council(s) the sport may receive probationary status for up to three years. Upon the completion of the probationary period, an evaluation should be made as to whether to recommend the sport for National Committee status.
3. The Sport Council makes a motion for Congress to approve the sport. With the approval of Congress, the new sport has National Committee status, which include voting privileges as provided by the Code.

C. Special Meetings. The National Sport Committee may hold a Special National Sport Committee meeting in odd years subject to the following provisions:

1. The meeting is called by the Chair following approval of the National AAU.
2. The National AAU has the right to coordinate the meeting and pick the site for the meeting.

D. Sport Committee Meeting Order (Agendas). The Regular National Sport Committee meetings, Special National Sport Committee meetings, and National Sport Committee Special meetings shall follow the meeting order (agenda format) as established by Code (Bylaw 9.5).

XV. JUDICIAL PROCEDURES AND RULES

A. Introduction. Article III of the AAU Constitution establishes the structure of the Judiciary of the AAU. The responsibility of the Judiciary is to enforce the provisions of the Code, in a manner that provides fairness to members of the Union. With this purpose, the following procedures and rules are established and are applicable to the Judicial Bodies of the AAU.

B. Procedure during Hearings.

1. In hearings before an Infractions Committee, a Judicial Officer, a District Review Committee or National Board of Review hearings, the presiding officer will establish the order of presentation for the evidence. Usually, the complaining party will first present its case, and the defending party will then present evidence. Each party may be allowed an opportunity to ask questions of the witnesses. Committee members may question witnesses, and may comment on the evidence.
2. A party or witness may be represented by an attorney, or any other person chosen by them for that purpose.
3. The persons conducting the hearing are not required to be attorneys, and the parties are not litigants. Therefore, formal rules of procedure and evidence are not applied. The purpose of a hearing is to provide an opportunity for the presentation of facts in a fair and reasonable manner so that the hearing body can ascertain the truth, and determine appropriate remedies. Decisions will not usually be disturbed on procedural grounds if the above elements of protection are reasonably provided.

C. Infractions Committees and Judicial Officers. The proper administration of a sports event frequently requires an efficient and often quick determination of issues. The operating rules of each Sport Committee (National and/or District) may establish an Infractions Committee or may permit the appointment of one or more Judicial Officers to consider violations occurring in the sport.

1. **Appointment.** The Judicial Officers or Infractions Committees acquire jurisdiction only if the Sport Committee has adopted a written operating rule establishing the jurisdiction of the Committee/Officers at an Biennial Meeting. The Officer can be the District Sport Director or any other AAU member. The sport rule(s) may provide that the Sport Director may appoint the Committee/Officers.
2. **Jurisdiction/Authority.** The Committee/Officers can conduct an inquiry as to violations (including sport rules, entry requirements and/or operating rules) relating to the sport.
3. **Proceedings.** The Officer (or designated Committee member) may investigate the matter by interviewing witnesses, examining documents, and/or reviewing circumstances. When possible, written statements should be acquired. The person(s) or club being accused shall be notified that a complaint has been made, and the nature of the complaint. The accused shall be given an opportunity to present evidence on its own behalf. A formal/evidentiary hearing is not required, but the Officer should maintain notes and records regarding the inquiry.
4. **Decisions.** Decisions should be in writing, and delivered to the affected parties. District level decisions must advise that appeals can be made to the District Review Committee.

National Committee rulings can be appealed to the National Board of Review. Decisions are effective immediately unless a stay is issued by the appropriate appeal entity.

5. Infractions Committees and Judicial Officers in the field must act in a way that ensures the proper management of events, and Committee business. It is impractical to require these volunteers to be bound by a set of formal procedural requirements. However, it is essential to the proper function of the Infractions Committee/Officers that the parties accused receive reasonable notice of the charge, that an opportunity to be heard is provided, and that notice of the right to appeal is conveyed or delivered with the decision rendered. Decisions should not be reversed on procedural grounds if those elements of protection are reasonably provided.

D. District Review Committees

1. **Jurisdiction.** A matter can come before a District Review Committee by either a complaint, appeal, or upon the exercise of original jurisdiction.
 - a. **Complaints.** Any person or club can file a complaint with the Review Committee. The Chair of the Committee shall review complaints to determine whether there is reasonable cause to believe that a violation has occurred and that there are some facts to support the allegation. If such finding is made, the Chair shall schedule the matter for a hearing by the Committee. If no reasonable cause is found the complaint may be dismissed. If the Chair does not find reasonable cause, the case will be considered anyway, upon the request of at least three (3) Review Committee members.
 - b. **Appeals.**
 1. Any decision by a District Infractions Committee or Officer may be appealed to the District Review Committee.
 2. Appeals must be in writing, received not more than 20 days after the decision is issued, and shall be delivered with an appeal fee of \$100.00.
 3. The notice of appeal shall be delivered to the District Secretary who will notify the Review Committee Chair of the appeal, and forward the appeal fee to the District Treasurer.
 - c. **Original Jurisdiction.** The Review Committee may exercise original jurisdiction to initiate a case when it finds reasonable cause to believe that a violation has occurred.
2. **Hearings.** The Review Committee Chair shall schedule hearings and notify the Committee members and parties to the case. Hearings shall be scheduled not more than 45 days from: a) the date that Notice of Appeal was received by the District Secretary, or b) from the date that reasonable cause is found on a complaint.
3. **Evidence.** The Chair shall preside at hearings, and shall rule on questions of evidence. The Chair may permit the introduction of any matter that is relevant to the facts of the case or the appropriateness of penalties. Evidence can be written or oral. Hearsay may be permitted. The Chair may make preliminary orders setting deadlines for submitting documents, witness lists or other appropriate parameters or limits to the evidence.
4. **Decisions.** Decisions must be in writing, and distributed to all affected parties within 20 days of the conclusion of the hearing. The Decision shall contain notice of the right to

appeal to the National Board of Review. Any such notice shall be sent to the National Board of Review, c/o AAU, P. O. Box 22409, Lake Buena Vista, FL 32830. Decisions are effective immediately unless a stay is issued by the National Board of Review.

E. National Board of Review. Matters are presented to the National Board of Review by appeal, upon the exercise of jurisdiction as established by Code, or by complaints filed directly with the Board.

1. Complaints. A complaint is a statement in writing that alleges a violation of the AAU Code or AAU sport operating rule. Complaints received by the National Board of Review may be dismissed for lack of reasonable cause, referred to any other judicial body for action, or assigned to one or more hearing officers. The Chair, or persons delegated by the Chair may investigate facts of any matter before setting a hearing or referring the case.

2. Appeals.

a. Filing Requirements. Appeals must be in writing and must be submitted within 30 days of the date of the Decision being appealed. An appeal fee of \$250.00 (cashier's check or money order only) must be submitted with the appeal. The notice of appeal shall be delivered to and received by the National Office of the AAU within the appropriate time. The appeal fee will be refunded at the Chair's discretion.

b. Appeals can be dismissed for lack of reasonable cause, referred to one or more hearing officers, or scheduled for hearing before the Board. In each case the Chair may issue evidentiary orders and set deadlines appropriate to the case.

3. Original Jurisdiction. The National Board of Review may act on its own initiative to exercise jurisdiction over any matter or controversy arising within the AAU except the acts of Congress. If the National Board of Review asserts jurisdiction, the matter is removed from the jurisdiction of any other judicial body.

4. Hearing. The Chair may schedule hearings, establish deadlines for submitting evidence, set parameters and limitations of evidence as appropriate.

5. Evidence. The presiding officer will rule on all evidentiary and procedural matters to allow the parties a fair opportunity to present relevant information to support their position. The presiding officer may permit only evidence relevant to the facts of the case or the appropriateness of penalties.

6. Decisions. Decisions will be in writing and will be distributed as directed by the Chair. The Decision shall contain notice of the right to appeal to the National Board of Appeals, c/o AAU, P. O. Box 22409, Lake Buena Vista, FL 32830. Decisions are effective immediately unless a stay is issued by the National Board of Appeals.

F. Board of Appeals.

1. Jurisdiction. The Board of Appeals only considers appeals from Board of Review decisions.

2. Notice of Appeal. Appeals must be in writing, must recite the grounds for appeal as set out in the AAU Code, must be filed within 30 days of the decision date, must be

accompanied by an appeal fee of \$500.00 (cashier's check or money order only), and must be submitted to the National Office of the AAU.

3. The Board of Appeals will usually decide the appeal upon a review of the records. However, the Board may choose to seek additional information, schedule a hearing, interview witnesses, or take any other measures deemed helpful in arriving at a decision.
4. Decisions will be in writing, and will be distributed at the discretion of the Chair. Appeal fees may be refunded at the Chair's discretion.
5. The standard of review will be whether there is substantial evidence to support the decision of the Board of Review.

G. Interpretation and Application of Policy. The Chair of the National Board of Review may issue directives, interpretations, advisories, and orders to the judicial bodies or officers to assist in the proper application of the AAU Code, including its policies.

XVI. RE-DISTRICTING PROCEDURES.

- A. Any change in District territory requires an amendment to AAU Bylaws in accordance with Article I of the AAU Constitution.
- B. Districts wishing to change their geographic boundaries must submit a request for Bylaw change to the National Headquarters to the attention of the National Secretary at least sixty (60) days before the Biennial Meeting of Congress. The request shall include:
 1. The territory that the District wishes to annex or discard.
 2. Any existing District which this annexation or discardance may impact.
 3. Rationale for the request.
- C. Upon receipt of a proposed change, the National Office shall notify the Chair of the Re-Districting Committee and the Governor of any District impacted by the proposal. Impacted Districts may respond in writing to the Chair of the Re-Districting Committee with a statement of their support or opposition to the proposal.
- D. The Re-Districting Committee deliberates the proposal and presents its recommendation to the Legislation Committee. As part of its deliberations the Re-Districting Committee may request a representative of all involved parties to appear before them.
- E. A District, after attempting to annex territory which is assigned to another chartered District, whose proposal fails, may not make a similar proposal for re-districting for at least four (4) years.

XVII. DISTRICT MANAGEMENT.

A. Credentialing Eligible Voters at District Board of Managers and Sport Committee meetings:

1. Reports from the AAU database are not disputable.
2. The District Registrar and Secretary must validate club designation forms changing the club representative.

B. District Filing of Minutes. District minutes shall be submitted electronically using email. This applies to Board of Managers, Executive Committee and Sport Committee minutes.

XVIII. EVENT POLICIES.

A. Schedule Changes. Participants in AAU events acknowledge that the dates and/or times (schedule for events may change).

B. Age Group/Skill Levels/Weight Classes and other as Defined by the Event Flyer/Sport Committee Rules. Participants in AAU events acknowledge that events may be subject to change.

C. The AAU or event operators may take action in the event of fighting, threats, abuse (physical and verbal) and disruptive behavior. Anyone involved in such incidents, including but not limited to athletes, non-athletes, parents, spectators, officials, vendors, or other event attendees, at an AAU licensed event may be removed from the event. In addition the athlete(s) or teams associated with the persons involved in the incident may be disqualified from the licensed event. Further, the AAU and/or Event Host reserve(s) the right, in their sole discretion to remove or deny entry of/to any participant, coach, and/or spectator from any Event (site/venue), practice or meeting.

D. Handguns or other weapons are not permitted on the premises of AAU licensed events except for law enforcement officers in the exercise of their duties. Exception: Equipment utilized in competition.

E. Medical Emergency. In the event of a medical emergency and in the event there is no parent, guardian, or authorized person available, the event operator may (but is not required to) grant permission for emergency treatment/hospitalization if believed necessary.

F. National Championship Event Hosts. From the time a host organization submits a bid for a National Championship event, through the time the event is held, the host organization must maintain a club membership in the appropriate category for the entire year (365 days or 366 days in a leap year). If Host does not comply, the event may be removed.

G. District Qualifier Medals. AAU medals shall be used at any licensed district qualifying event. Medals must be purchased through the AAU medal program or the license shall be denied/voided. District Athletics Championships events may use the Sports for All Forever medals at their District Qualifiers.

XIX. Whistleblower Policy

This whistleblower policy is intended to encourage and enable directors, officers, employees and volunteers to report any action or suspected action taken by or within the AAU that is illegal, fraudulent or in violation of the AAU's policies.

A. No Retaliation: There shall be no retaliation against any director, officer, employee or volunteer as a result of such person who, in goodfaith, provides a report under this Policy.

B. Reporting Procedure: Reports should be submitted in writing to the Director of Compliance, who serves as the organization's compliance officer.

C. Compliance Officer: The AAU's Director of Compliance is responsible for ensuring that all written reports under this Policy are provided to the AAU's Audit Committee or AAU's Board of Directors.

D. Confidentiality: Reports under this Policy may be submitted on a confidential basis by marking the written report "Confidential". Such reports will be kept confidential to the extent possible.

E. Handling of Reported Violations: The AAU's Compliance Director will acknowledge receipt of the report to the person who submitted the report.

F. Distribution: This policy shall be distributed to all directors, officers, employees and volunteers who provide substantial services to the AAU.

XX. Document Retention Policy

It is and shall be the policy of the AAU and its subordinates that in regard to all documents, including but not limited to: hard copy, facsimile, and/or electronic media (or any other type of documentation, whether currently in existence or not) that all such documents, if related (or could reasonably be determined at the time of potential deletion/destruction to be related) to actual or potential litigation, shall be retained (i.e., not shredded, deleted, nor otherwise destroyed) during the pendency of any such litigation or during the statute of limitations for any such potential litigation. Litigation for the purposes of this Policy shall include both civil and/or criminal matters, whether federal or state.

Any and all documents not related to litigation shall be kept for at least the statutory required time or the time, if any, as set by the AAU Audit Committee, whichever is longer.

The National AAU may require such records/documents be forwarded for storage to the AAU National Office at its sole discretion.

XXI. Conflict of Interest Disclosure Policy

A. Purpose: This conflict of interest policy is intended to assure that the directors, officers, and key employees of the AAU act in the AAU's best interest and comply with applicable legal requirements including but not limited to, the requirements set forth in Section 715 of the New York Not-For-Profit Corporation Law.

B. Conflict of Interest Defined: For purposes of this policy, a “conflict of interest” means, and will be deemed to exist in the following circumstances:

1. Outside Interests. Any transaction, agreement or any other arrangement between the AAU or any affiliate of the AAU in which a Related Party has a financial interest.

2. Gifts, Gratuities and Entertainment. A related Party accepts gifts, entertainment or other favors from any individual or entity that (i) does or is seeking to do business with the AAU or (ii) under circumstances where it might be inferred that such action was intended to influence or possibly would influence the Related Party in performance of his or her duties. This does not preclude the acceptance of items or entertainment of nominal or insignificant value that are not related to any particular transaction or activity of the AAU.

3. Defined Terms. The following terms have the following meanings when used in the Policy:

a. “Key Employee” means any person who is in a position to exercise substantial influence over the affairs of the AAU, as referenced in 26 U.S.C. Section 4958 (f)(1)(A) and further in CFR Section 53.4958-3(c), (d) and E or succeeding provisions.

b. “Related Party” means (i) any director, officer, or key employee of the AAU or any affiliate of the AAU, (ii) any Relative of any director, officer or key employees of the AAU or any affiliate of the AAU and (iii) any entity in which any individual described in clauses (i) or (ii) has a thirty-five percent (35%) or greater ownership or beneficial interest or, in the case of a partnership or a professional corporation, a direct or indirect ownership interest in excess of five percent (5%).

c. “Relative”, with respect to an individual, means his or her (i) spouse, ancestors, brothers and sisters (whether whole or half blood), children (whether natural or adopted), grandchildren, great-grandchildren and spouse of brothers, sister, children, grandchildren and great-grandchildren or (ii) domestic partners (as defined in Section 2994-A of the New York Public Health Law).

C. Disclosure Procedures:

1. Prior to a meeting of the Board of Directors or committee of the Board or of the AAU (a “Committee”) involved a conflict of interest, a Related Party having a conflict of interest will disclose all the facts material to the conflict of interest to the chairman of the Audit Committee or, if he or she deems appropriate, the chairman of the board. Such disclosure may be made in person, telephonically, in writing (including via email or similar media).

2. Conflict of interest disclosures shall be filed not less than annually by electronic means. See the AAU’s website at www.aausports.org in regard to details for filing.

3. The chairman of the Audit Committee of the board, as the case may be, will disclose the conflict of interest at the outset of any deliberations regarding the matter giving rise to a conflict of interest. Such disclosure must be reflected in the minutes of any such meeting at which the matter giving rise to such conflict of interest was discussed or voted on.

D. Recusal:

1. Any Related Party having a conflict of interest may not be present at or participate in the deliberation of such board, Committee or other meeting relating to a matter giving rise to such conflict of interest and prior to such discussions will recuse himself or herself from such meeting. Further, a Related Party having a conflict of interest may not vote on any matter giving rise to such conflict of interest.

2. A Related Party having a conflict of interest may not attempt to improperly influence the deliberation or voting on a matter giving rise to such conflict of interest.

E. Review of the Policy; Delivery of Conflict of Information Form: (i) Each existing director of the Corporation will promptly, and annual thereafter complete, sign and submit and (ii) prior to his or her beginning to serve as a director, and annually thereafter, each new director will complete, sign and submit to the Secretary of the AAU a written statement identifying to the best of his or her knowledge, any entity or which such director is an officer, director, trustee, member, owner (either as a sole proprietor or a partner), or employee and with which the AAU has a relationship, and any transaction in which the AAU is a participant and in which the director might have a conflict of interest. Each year, the Secretary will provide the chairman of the Audit Committee with a copy of all completed information forms.

APPENDIX A

GLOSSARY OF TERMS

1. **AAU.** AAU means the Amateur Athletic Union of the United States, Inc., the entire national organization. The AAU is a national organization composed of affiliated Districts, authorized to work with sport-oriented groups, in the administration and development of sports at all appropriate levels.
2. **AAU Code.** The AAU Code is the collective reference to the Constitution, Bylaws, National Policies, National Sport Committee rules, and District Sport Committee rules and regulations.
3. **Amateur.** Amateur is one who engages in a athletic competition or exhibition solely for the pleasure and physical, mental or social benefits derived therefrom and to whom the sport is an avocation.
4. **Approved Sport.** A sport which meets the requirements as established by policy.
5. **Athlete.** A person who participates in an AAU sports activity as defined by the appropriate AAU Adult or Youth Sport Committee in regards to eligibility, rules, age, gender, etc..
6. **Attached.** An individual registered athlete becomes attached to an AAU club or team when the athlete participates as a club representative in a competition licensed by a District which includes two or more teams.
7. **Board of Directors.** The body which manages the business of the AAU between meetings of Congress.
8. **Board of Managers.** The governing body of a District.
9. **Charter.** An authorization of Congress to serve as the administrator of AAU programs in a District,
10. **Coach.** A person, who in behalf of a third party, instructs and/or leads one or more athletes. Coaches are chosen by said third party (parties) and not hired, selected, or appointed by the AAU. Coaches are not agents, employees, nor authorized spokespersons of the AAU.
11. **Congress.** The legislative body of the National AAU.
12. **District.** A group of clubs, organizations and individuals in a certain geographic area which has been chartered by Congress as a member of the AAU to provide administrative services for amateur sports and to foster AAU sport programs.

- 13. District Executive Committee.** The body which manages the business of the District between meetings of the Board of Managers.
- 14. Judicial Bodies.** A collective reference to the Board of Review, Board of Appeals, District Review Committee, and Infractions Committees.
- 15. Licensed Event.** The written approval of the AAU to authorize registered athletes to participate in a specific competition or activity.
- 16. Membership.** An agreement to participate under the rules, regulations, Code, policies and procedures of the AAU. Membership entitles participation; it does not create agency, or authorize member(s) to be spokespersons(s) on behalf of the AAU.
- 17. Non-athlete.** A person who participates in the AAU in an administrative role such as an Administrator, Bench Personnel, Coach, Instructor, Manager, Official, Team Leader, Tournament Director, Volunteer or other who supports the sport's activity but does not compete as an athlete.
- 18. Operating Rules.** Policies and procedures regulating the committee structure and conduct of events within the jurisdiction of the entity.
- 19. Registrar.** A District officer responsible for the oversight of the District memberships and event licensing.
- 20. Registration.** The process of becoming a member of the AAU. An individual is registered when he has completed the appropriate and has paid the required fee to the District Registrar or other authorized person.
- 21. Reinstatement.** The return of all, or limited, rights of membership.
- 22. Transfer.** A change in an athlete's attachment, or club affiliation, from one club to another.
- 23. Unattached.** An AAU athlete who represents no club member.
- 24. Union.** The Amateur Athletic Union of the United States Inc.
- 25. Youth Athlete.** Members of the AAU under the age of 21 as determined by the National Sport Committee.
- 26. Zone.** A geographical section of the AAU that includes all Districts within that area.

APPENDIX B

SPECIAL COMMITTEES

Convention
Life
Sullivan
Volunteer

Committee
Life Membership Committee
Committee
Hall of Fame

APPENDIX C

LIST OF APPROVED SPORTS**

Aquatics (Youth Diving, Swimming, Water Polo)
Badminton
Baseball
Basketball (Boys)
Basketball (Girls)
Basketball (Men)
Basketball (Women)
Baton Twirling
Bowling
Cheerleading
Chinese Martial Arts/Kung Fu
Cricket
Dance
Field Hockey
Fishing (from land-based platform – youth only)
Football (Flag, Tackle, 7-on-7, Football Cheer)
Futsal
Golf
Gymnastics (Gymnastics, Freestyle Gymnastics)
Hockey (Floorball, Ice, Inline & Roller)
Judo
Jump Rope
Karate
Lacrosse
Soccer
Softball
Sport Stacking
Strength Sports (Powerlifting, Weightlifting)
Surfing
Table Tennis
Target Shooting
Taekwondo
Track and Field (Cross Country, Multi-Events, Track and Field)
Trampoline and Tumbling
Volleyball
Wrestling

**** Sport may be limited to a specific age or category of competition.**

APPENDIX D

ZONE ALIGNMENT

Zone A: New England, Adirondack, Niagara, Connecticut, Metropolitan, New Jersey, Middle Atlantic, Maryland, Potomac Valley, Western Pennsylvania, Virginia, Ohio, Lake Erie

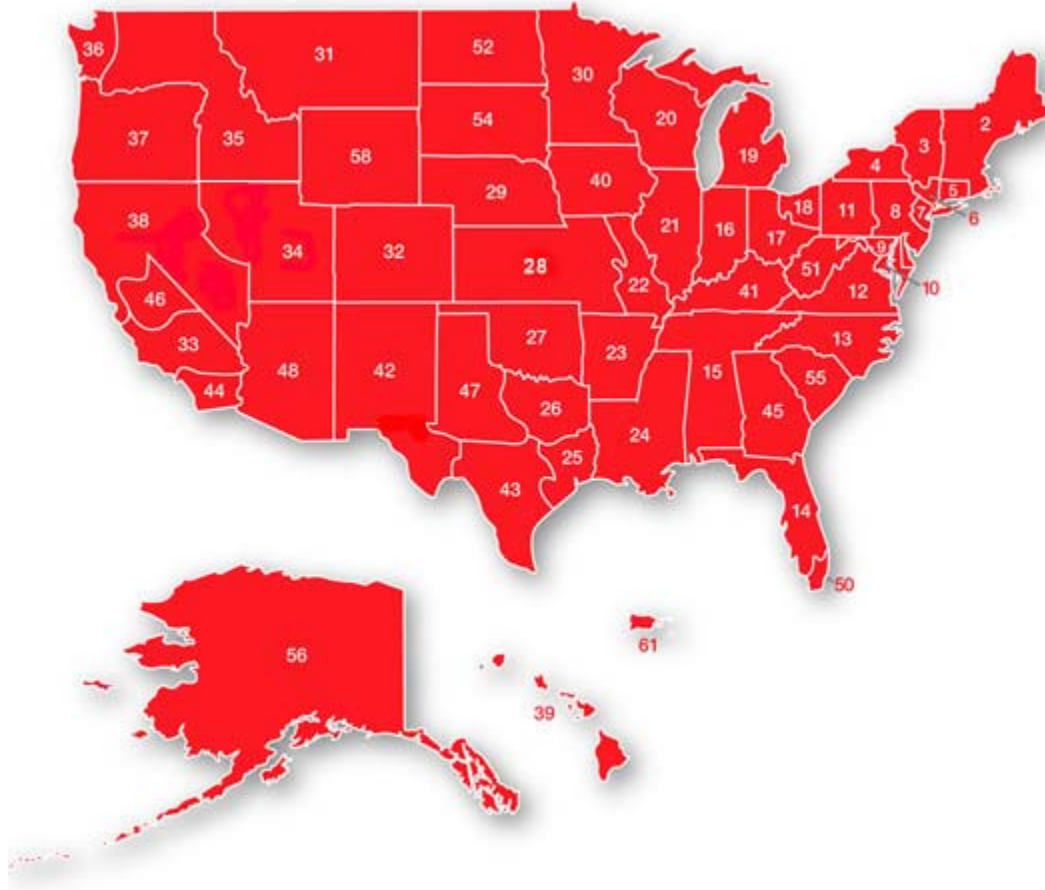
Zone B: North Carolina, Southeastern, Southern, Gulf, Southwestern, Kentucky, South Texas, Georgia, West Texas, Florida, Florida Gold Coast, West Virginia, South Carolina, Puerto Rico

Zone C: Michigan, Wisconsin, Central, Ozark, Arkansas, Oklahoma, Missouri Valley, Nebraska, Montana, Iowa, North Dakota, South Dakota, Wyoming, Minnesota, Indiana

Zone D: Hawaiian, New Mexico, Colorado, Utah, Inland Empire, Pacific Northwest, Oregon, Alaska, Southern Pacific, Central California, Arizona, Pacific Southwest, Pacific

APPENDIX E

MAP OF DISTRICTS



2 New England	19	Michigan	36 Pacific Northwest	55 South Carolina
3 Adirondack	2	0 Wisconsin	37 Oregon	56 Alaska
4 Niagara	21	Central	38 Pacific	58 Wyoming
5 Connecticut		22 Ozark	39 Hawaiian	61 Puerto Rico
6 New York Metropolitan		23 Arkansas	40 Iowa	
7 New Jersey	24	Southern	41 Kentucky	
8 Middle Atlantic	2	5 Gulf	2 New Mexico	
9 Maryland		26 Southwestern	43 South Texas	
10 Potomac Valley		27 Oklahoma	44 Pacific Southwest	
11 Western Pennsylvania		28 Missouri Valley	45 Georgia	
12 Virginia		29 Nebraska	46 Central California	
13 North Carolina		30 Minnesota	47 West Texas	
14 Florida	31	Montana	48 Arizona	
15 Southeastern		32 Colorado	50 Florida Gold Coast	
16 Indiana		33 Southern Pacific	51 West Virginia	
17 Ohio	34	Utah	North Dakota	
18 Lake Erie		35 Inland Empire	54 South Dakota	